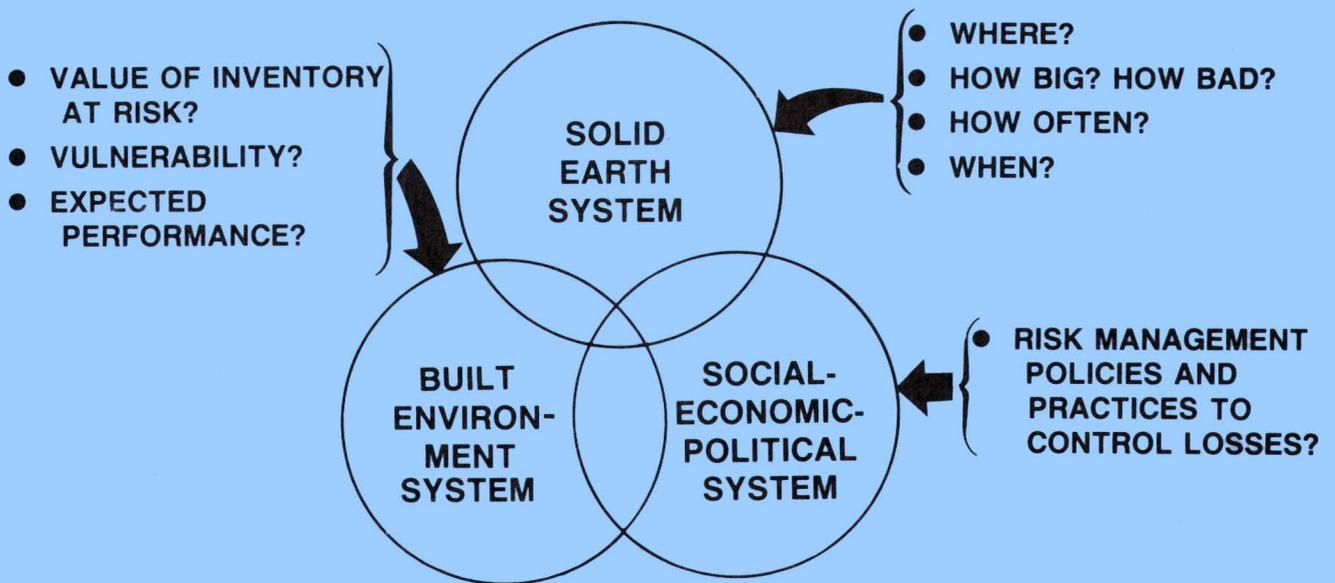


# FOURTH INTERNATIONAL FORUM ON SEISMIC ZONATION HELD IN

Chicago, Illinois--July 14, 1994  
and  
Vienna, Austria--August 30, 1994

## SEISMIC ZONATION



Open-File Report 94-424

United States Geological Survey  
Reston, Virginia

# **Fourth International Forum On Seismic Zonation**

*(An Activity Of The International Decade For Natural Disaster Reduction)*

**Held in**

Chicago, Illinois  
July 14, 1994  
and  
Vienna, Austria  
August 30, 1994

## **Sponsors:**

United Nations Educational, Scientific And Cultural Organization

United States Geological Survey

International Association For Seismology And Physics Of The Earth's Interior

Secretariat For The International Decade For Natural Disaster Reduction

Stanford University

Earthquake Engineering Research Institute

California Department Of Conservation--Division Of Mines And Geology

Central United States Earthquake Consortium State Geologists

Open-File Report 94-424

Editor: Walter W. Hays  
Compiler: Linda R. Huey

This report is preliminary and has not been reviewed for conformity with U.S. Geological Survey editorial standards and stratigraphic nomenclature. The views and conclusions contained in this document are those of the authors and should not be interpreted as necessarily representing the official policies, either expressed or implied, of the United States Government. Any use of trade names and trademarks in this publication is for descriptive purposes only and does not constitute endorsement by the U.S. Geological Survey.

**Cover:** Schematic illustration of the hazard, built, and policy environments and the critical questions professionals address in seismic zonation.

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## Preface

We are pleased to provide you with information developed for the Fourth International Forum on Seismic Zonation which will be convened in two locations year in conjunction two major international meetings. The objectives are: 1) to assess the status of seismic zonation in every country of the world, 2) to evaluate the reasons for advances and new initiatives, and 3) to foster continued cooperation.

Seismic zonation is the process that leads to risk reduction and sustainability of new development. It is based on the division of a geographic region into smaller areas or zones on the basis of an integrated assessment of the hazard, built, and policy environments of the region. Seismic zonation depends on hazard mapping performed on national/regional, subregional, and urban (i.e., microzonation) scales depending on the particular application.

We gratefully acknowledge the written communications of many professionals who responded to our request for information. Also, we acknowledge the use of information contained in five valuable reports (see directories in the Appendices for information on where to obtain copies of the reports):

1. United Nations, 1990, Cooperative Project for Seismic Risk Reduction in the Mediterranean Region (SEISMED), proceedings, Office of the United Nations Disaster Relief Coordinator, Geneva, Switzerland, 3 vols. (**Franco Maranzana - Italy/Appendix D**).
2. United States Geological Survey, 1992, The Worldwide Earthquake Risk Management (WWERM) Program, Reston, Virginia, 19 p (**Paul Thenhaus or S.T. Algermissen - USA/ Appendix D**).
3. Instituto Panamericano de Geografia Historia, 1992, Revista Geofisica, Lima, Peru, No. 37, July-December, 234 p (**Alberto Giesecke - Peru/Appendix D**).
4. Annali di Geofisica, 1992, Global Seismic Hazard Assessment Program (GSHAP) (Special Issue), International Lithosphere Program, Publication 209, Bologna, Italy, 257 p (**Domenico Giardini - Italy/Appendix F**).
5. International Association of Seismology and Physics of the Earth's Interior and European Seismological Commission, 1993, The Practice of Hazard Assessment, Golden, Colorado, 284 p (**Write Bob Engdahl, U.S. Geological Survey, Denver Federal Center, Mail Stop 967, Denver, Colorado 80225, USA**).

Badaoui Rouhban  
Earth Sciences Division  
UNESCO

Walter Hays  
Office of Earthquakes, Volcanoes,  
and Engineering  
USGS



## **Fourth International Forum on Seismic Zonation**

Held in

Chicago, Illinois

July 14, 1994

in conjunction with the 5th National Conference  
on Earthquake Engineering

**and**

Vienna, Austria

August 30, 1994

in conjunction with the 10th European Conference  
on Earthquake Engineering

*Both Fora are activities of the International Decade for Natural Disaster Reduction*

### **Program for Each Forum**

#### **Plenary Session: The Professional Practice of Seismic Zonation**

Representatives of countries throughout the world will meet for introductions and receive information on the terms of reference for the forum.

#### **Welcome, Introductions, Objectives, Background, and a Framework for Seismic Zonation.**

**Moderators:** Walter Hays, Deputy Chief for Research Applications, Office of Earthquakes, Volcanoes, and Engineering, U.S. Geological Survey, USA

Badaoui Rouhban, Program Specialist, Earth Sciences Division, United Nations Educational, Scientific and Cultural Organization, France

Mustafa Erdik, Professor, Bogazici University, Kandilli Observatory and Earthquake Research, Institute, Istanbul, Turkey

### **REGISTRATION**

**NOTE: ALL PARTICIPANTS SHOULD SIGN IN WITH THE REGISTRAR: LINDA HUEY, U.S. GEOLOGICAL SURVEY, OR HER REPRESENTATIVE, INDICATING ANY CHANGES IN THE DIRECTORY.**

**DEFINITION:** Seismic zonation is the process that leads to the division of any geographic region into smaller areas or zones based on an integrated assessment of the hazard, built, and policy environments of the region. Seismic zonation is performed on national/regional, subregional, and urban (i.e., microzonation) scales depending on the particular application. The elements of the built environment at risk in a given zone are expected to experience the same relative severity of characteristics of an earthquake hazard (e.g., ground shaking, liquefaction, tsunami flood wave runup, etc.) during a given exposure time. The individual risks, however, may vary widely within a zone depending on element vulnerability.

**MORNING AND AFTERNOON REFRESHMENT BREAKS WILL BE TAKEN ACCORDING TO LOCAL SCHEDULE.**

**Plenary Session 2: Assessment of the Practice of Seismic Zonation in Each Country.**

Selected representatives will contribute orally and all in writing to the assessment. The emphasis will be placed on identifying the map products, scales, methods, applications, and driving forces for progress in each country.

To provide all representatives with a comprehensive overview of the status of seismic zonation in countries having a variety of seismotectonic environments, several representatives will be asked to contribute short oral reports:

United States

- Walter Hays, U.S. Geological Survey
- { Paul DuMontelle, Illinois Geological Survey  
S. Cragin Knox, Mississippi Office of Geology  
Buddy Schweig, U.S. Geological Survey
- Jim Davis, California Division of Mines and Geology

Other countries to be selected randomly:

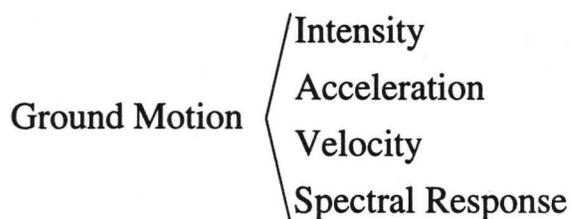
- |            |                           |
|------------|---------------------------|
| Albania    | Bolivia                   |
| Algeria    | Brazil                    |
| Argentina  | Bulgaria                  |
| Australia  | Canada (British Colombia) |
| Austria    | Caribbean (Eastern)       |
| Bangladesh | Chile                     |
| Belgium    | China                     |

Colombia  
Costa Rica  
Cuba  
Cyprus  
Czech Republic  
Ecuador  
Egypt  
Ethiopia  
Fiji  
Finland  
France  
Germany  
Greece  
Hungary  
Iceland  
India  
Iran  
Ireland  
Israel  
Italy  
Ivory Coast  
Jamaica  
Japan  
Jordan  
Lebanon  
Libya  
Macedonia

Malaysia  
Monaco  
Morocco  
Nepal  
Netherlands  
New Zealand  
Nigeria  
Norway  
Papua New Guinea  
Poland  
Romania  
Russia  
Saudi Arabia  
Slovakia  
South Africa  
Spain  
Switzerland  
Syria  
Taiwan  
Thailand  
Tunisia  
Turkey  
United Kingdom  
United States  
Yemen

**INSTRUCTIONS:** Presenters will give a brief 10 minute summary of the status in their country focusing on the following five themes:

**1. Types of Map Products**



with consideration of:



Ground Failure  $\left\{ \begin{array}{l} \text{Liquefaction} \\ \text{Landslides} \end{array} \right.$

Flood Waves  $\left\{ \begin{array}{l} \text{Tsunami} \\ \text{Seiche} \end{array} \right.$

## 2. Scales

National / Regional  $\left\{ \begin{array}{l} \text{1:2,500,000} \\ \text{Smaller} \end{array} \right.$

Subregional  $\left\{ \begin{array}{l} \text{Larger} \\ \text{1:250,000} \end{array} \right.$

Urban  $\left\{ \begin{array}{l} \text{Larger} \\ \text{1:25,000} \end{array} \right.$  (i.e., microzonation)

## 3. Methods

Analytical Techniques  $\left\{ \begin{array}{l} \text{Deterministic} \\ \text{Probabilistic} \\ \text{Statistical} \end{array} \right.$

#### 4. Applications

To Stop Increasing the Risk  $\left\{ \begin{array}{l} \text{Building Codes} \\ \text{Land Use Ordinances} \\ \text{Urban / Regional Development Plans} \end{array} \right.$

To Start Decreasing the Risk  $\left\{ \begin{array}{l} \text{Retrofit} \\ \text{Non - structural Mitigation} \end{array} \right.$

To Continue Planning for the Inevitable  $\left\{ \begin{array}{l} \text{Scenarios for Emergency} \\ \text{Response} \\ \text{Scenarios for Recovery} \end{array} \right.$

with consideration of the lack of consensus and gaps in knowledge on the:

Hazard Environment  $\left\{ \begin{array}{l} \text{Faults} \\ \text{Tectonic Structures} \\ \text{Seismicity} \\ \text{Attenuation} \\ \text{Soil Response} \end{array} \right.$

Built Environment Vulnerability Functions  $\left\{ \begin{array}{l} \text{Buildings} \\ \text{Lifelines} \end{array} \right.$

Policy Environment  $\left\{ \begin{array}{l} \text{Policies: Prevention, Mitigation, Preparedness} \\ \text{Practices: Siting, Design, Construction} \end{array} \right.$

#### 5. Driving Forces:

Damaging Earthquakes  $\left\{ \begin{array}{l} \text{Worst Impacts} \\ \text{Most Recent} \end{array} \right.$

Technology Transfer  $\left\{ \begin{array}{l} \text{Individuals} \\ \text{Institutions} \\ \text{Projects} \end{array} \right.$

Political Support  $\left\{ \begin{array}{l} \text{Decrees} \\ \text{Legislation} \end{array} \right.$

Political and Societal Concerns for Seismic Safety  $\left\{ \begin{array}{l} \text{Important Structures} \\ \text{Essential / Critical Structures} \end{array} \right.$

Long - Term Development of Institutional and Professional Capacity  $\left\{ \begin{array}{l} \text{Institutes} \\ \text{Monitoring} \\ \text{Training} \\ \text{Education} \end{array} \right.$

### Formation of Working Groups

Working Groups will be formed to facilitate sharing of experiences and ideas.

**Instructions To Working Groups:** Each group will engage in a dialogue on two questions:

1. What were the driving forces that have advanced the practice of seismic zonation in each country?

**Examples:** A damaging earthquake, political/societal requirements for siting of important or critical structures or facilities, specific legislation or level government decrees, the leadership and technology transfer of individuals and institutions, within and without the country, the IDNDR, etc..

2. What can we do to advance the worldwide practice of seismic zonation (i.e., recommendations)?

**Examples:** Joint research and/technology transfer projects, cooperative agreements for post earthquake investigations, meetings, publications, etc.

**Luncheon Break - Lunch Is On Your Own**

**Continuation of Working Groups**

**Plenary Session 3: Reports and Recommendations of Working Groups**

**Rapporteurs of each Working Group will present the range of views on both questions to everyone.**

**INTERACTIVE DIALOGUE**

**CLOSURE AND NEXT STEPS**

- 5th International Forum on Seismic Zonation, Nice, France, October 17-19, 1994
- Regional initiatives
- Publication(s)
- Planning for the next Forum

**ADJOURN**



# Worldwide Assessment of the Status of Seismic Zonation

(JULY 1994 DRAFT)

By  
Walter W. Hays  
U.S. Geological Survey  
Reston, Virginia 22092  
USA

## Abstract

This draft paper is a summary of the practice of seismic zonation in selected countries throughout the world as of July 1994. Individually and collectively these status reports comprise an unprecedented success story which was written for most countries during the past 25 years, but whose last chapter is still being written by the scientists, engineers, planners, and policymakers in each country. The first chapter of the continuing saga started in the 1920's and 1930's for some countries (e.g., China and Russia), in the 1950's for Japan, in the 1960's for some (e.g., United States, Chile, and Mexico), and in the last decade for still others (e.g., Philippines and Saudi Arabia). Not all countries are included in this report. Those countries that were selected demonstrate four important generic factors which have value to every country. They are: 1) any country--large or small, developed or developing, characterized by high seismicity or low seismicity--can develop maximum intensity maps as a minimum to advance the practice of seismic zonation, and in fact they have; 2) the rate of advancement is highly dependent on the frequency of occurrence of damaging earthquakes, and in some cases volcanic eruptions and tsunamis; 3) international cooperation for post earthquake technical assistance, and training as typified by USGS, UNESCO, and others; and 4) technology transfer encompassing activities such as the classic publication by C.A. Cornell in 1968, the development of the computer program EQRISK for the public domain by USGS in 1976 (R.K. McGuire) with subsequent updating in 1987 (D.M. Perkins and B. Bender) and 1992 (Hanson et al), and regional projects such as the BALKANS, SEISMED, WWERM, SISRA, GSHAP, RELEMR, WWSI, CERESIS, and CENAPRED. Collectively these four factors have led to enhanced institutional and professional capacity worldwide.

Readers are invited to write the author with proposed substantive changes to the draft report.

## Seismic Zonation

Seismic zonation is the process that leads to risk reduction and sustainability of new development. It is based on the division of a geographic region into smaller areas or zones on the basis of an integrated assessment of the hazard, built, and policy environments of the region. Seismic zonation depends on hazard mapping performed on national/regional, subregional, and urban (i.e., microzonation) scales depending on the particular application. The elements of

the built environment at risk in a given zone are expected to experience the same relative severity of an earthquake hazard (e.g., ground shaking, liquefaction, tsunami flood wave runup, etc.) during a given exposure time. The individual risks, however, may vary widely within a zone depending upon the vulnerability of individual elements and risk management policies (i.e., prevention, mitigation, and preparedness measures) and professional practices (i.e., siting, design, and construction guidelines and standards).

Seismic zonation provides an end user with the information needed to answer the question, "Which part of the geographic area under consideration is safest for siting: a single-family dwelling, a high-rise building, a long-span bridge, a school, a hospital, a dam, or a nuclear power plant?" An alternative question is "Which part of the geographic area is best for avoiding extreme ground shaking, liquefaction, large volume landslides, or tsunami run-up?" The emergency manager can also answer the question, "What kinds of losses should be expected throughout the geographic area for a given scenario earthquake?"

Hazard maps, vulnerability functions, and risk management policies are critical in seismic zonation. The physical effects (i.e., earthquake hazards) damage or destroy buildings and lifeline systems (e.g., bridges, dams, pipelines, utility systems, tunnels, rapid transit) in urban centers and cause socioeconomic impacts over broad geographic regions. Within a minute or less economic losses can reach several tens of billions of dollars. Ground shaking can trigger liquefaction (i.e., a temporary loss of bearing strength at locations underlain by young, loosely compacted, water-saturated sand deposits) and landslides (i.e., falls, topples, slides, spreads, and flows of rock and/or soil on unstable slopes). Some earthquakes will also generate surface fault rupture where, depending on the magnitude or amount of mechanical energy released at the initial rupture zone, the fault can propagate upward, and break the surface. Surface fault rupture, liquefaction, and landsliding cause permanent displacements, which can be especially damaging to underground lifeline systems. Regional tectonic deformation (i.e., changes in elevation over a broad geographic region) is a characteristic of great-magnitude earthquakes (i.e., those having magnitudes of 8 or greater). Tsunamis (i.e., long-period ocean waves generated by the sudden vertical displacement of a submarine earthquake) can generate flood waves that can destroy ports and harbors and buildings at coastal locations far from and close to the earthquake source. Seiches (standing waves induced in lakes and harbors), dam failures, and fires can also be induced by an earthquake. Aftershocks (i.e., smaller magnitude earthquakes, following the main shock) can occur for several months to years, repeating and worsening the physical effects described above, depending on their magnitude, proximity to the urban center building or lifeline or site, and the incipient damage state of the remaining structures. Postearthquake investigations seek to understand and quantify these physical effects and to define vulnerability functions for buildings and lifelines in order to develop the best possible loss reduction measures. The knowledge gained from investigations provides a basis for constructing the best seismic zonation maps for use in building codes and land-use regulations.

## Status of Individual Countries

The Annex summarizes the status of seismic zonation in each country. It contains:

1. Principal contact(s).
2. Background on the seismotectonic setting.
3. Monitoring systems (seismicity network and strong motion instruments).
4. The types of maps that have been prepared to date.
5. Some of the primary applications.
6. The driving force(s) behind the advances.

Readers should refer to the references and country contacts for more information.

All readers are encouraged to provide additional information on their country. If any part of the summary needs to be modified, submit the changes in writing to the author.

## Pioneers and Entrepreneurs

Although every country has pioneers and entrepreneurs, nine individuals are well known throughout the world for their pioneering and entrepreneurial work in seismic zonation. They are:

S.T. Algermissen  
C.A. Cornell  
L. Esteva

G.P. Gorshkov  
H. Kawasumi  
C. Lomnitz

R.K. McGuire  
S.V. Medvedev  
Y.V. Riznichenko

## Projects Referenced In the Annex

The following projects are referenced in the Annex by using their acronyms.

1. **Balkans Project** - sponsored by UNESCO during the 1970's and 1980's with technical assistance of USGS to improve earthquake hazard assessment in Greece, Bulgaria, Albania, Turkey, Yugoslavia, and Romania.
2. **SISRA Project** - sponsored by AID/OFDA with technical assistance of USGS to improve earthquake hazard assessment in the Andean region of South America during the late 1980's.
3. **SEISMED Project** - sponsored by Italian government, UNDP, and UNDRO (now DHA) with technical assistance of USGS and others to foster earthquake risk reduction in the countries adjacent to the Mediterranean Sea during the late 1980's and early 1990's. Participating countries included: Spain, France, Monaco, Malta, Albania, Italy, Macedonia (former Yugoslavia), Turkey, Israel, Egypt, Syria, Greece, Cyprus, Libya, Morocco, Tunisia, and Algeria.

4. **WWERM Program** - sponsored by AID/OFDA with technical assistance of USGS to develop seismic zonation maps and risk assessments in major cities of Peru, Chile, Morocco, Indonesia, and the Philippines with eventual expansion worldwide during the late 1980's and 1990's.
5. **RELEMR Project** - sponsored by US Department of State with technical assistance of USGS and support of UNESCO to reduce earthquake losses in the Eastern Mediterranean Region (i.e., Turkey, Lebanon, Cyprus, Syria, Jordan, West Bank, Israel, Egypt, Saudi Arabia, and Yemen) during the period 1993-2000.
6. **GSHAP Project** - proposed by the International Lithosphere Program with sponsorship by the International Council of Scientific Unions as a program of the IDNDR to promote uniform seismic hazard assessment worldwide. Regional centers have been established in Mexico, Germany, Chile, Kenya, Morocco, Russia, China, and the Philippines.
7. **WWSI Project** - an initiative of the International Association of Earthquake Engineering with support by the Japanese government and technical assistance by the United States to facilitate the development and implementation of cooperative seismic risk reduction projects worldwide during the 1990's.
8. **PAMERAR Program** - the "Programme for Assessment and Mitigation of Earthquake Risk in the Arab Region" is an initiative sponsored by the Arab fund for Economic and Social Development and the Islamic Development Bank, with technical assistance from UNESCO, during the 1980's and 1990's.
9. **CERESIS** - headquartered in Lima, the Centro Regional de Sismologia para America del Sur, was created in 1966 by agreement between the Government of Peru and UNESCO to provide international and intergovernmental coordination. Eleven nations (Argentina, Bolivia, Brazil, Ecuador, Spain, Chile, Colombia, Peru, Trinidad-Tobago, Uruguay, and Venezuela).
10. **CENAPRED** - a national disaster prevention center established in 1990 in Mexico City through a cooperative program of the governments of Japan and Mexico. Technical assistance has been provided to: Costa Rica, El Salvador, Guatemala, Honduras, Nicaragua, and Panama.

#### **References for the Annex**

The literature on seismic zonation is very extensive and every country has a bibliography which is beyond the scope of this report to list. Three classic references have been used throughout the world. They are:

1. Cornell, C.A., 1968, Engineering Seismic Risk Analysis, Bulletin of the Seismological Society of America, Vol. 58, pp. 1503-1606.
2. Algermissen, S.T. and Perkins, D.M., (1976), A Probabilistic Estimate of Maximum Acceleration in Rock in the Continuous United States, U.S. Geological Open-File Report, 76-416, 77 p.

3. United Nations Educational Scientific and Cultural Organizations, 1978, The Assessment and Mitigation of Earthquake Risk, Paris, France, 341 p.

The following five references were used in the compilation of status reports:

1. United Nations, 1990, Cooperative Project for Seismic Risk Reduction in the Mediterranean Region (SEISMED), proceedings, Office of the United Nations Disaster Relief Coordinator, Geneva, Switzerland, 3 vols. (**Franco Maranzana - Italy/Appendix D**).

2. United States Geological Survey, 1992, The Worldwide Earthquake Risk Management (WWERM) Program, Reston, Virginia, 19 p (**Paul Thenhaus or S.T. Algermissen - USA/ Appendix D**).

3. Instituto Panamericano de Geografia Historia, 1992, Revista Geofisica, Lima, Peru, No. 37, July-December, 234 p (**Alberto Giesecke - Peru/Appendix D**).

4. Annali di Geofisica, 1992, Global Seismic Hazard Assessment Program (GSHAP) (Special Issue), International Lithosphere Program, Publication 209, Bologna, Italy, 257 p (**Domenico Giardini - Italy/Appendix F**).

5. International Association of Seismology and Physics of the Earth's Interior and European Seismological Commission, 1993, The Practice of Hazard Assessment, Golden, Colorado, 284 p (**Write Bob Engdahl, U.S. Geological Survey, Denver Federal Center, Mail Stop 967, Denver, Colorado 80225, USA**).

# ANNEX

## STATUS OF SEISMIC ZONATION: JULY 1994

This is a portion of the total report being compiled for distribution at the 5th International Forum that will be convened in conjunction with the 5th International Conference on Seismic Zonation in Nice, France in October 1995.

### Albania

**Principal Contact:** Eduard Sulstarova, Seismological Center, Academy of Sciences, Tirana, Albania

**Background:** The high level of seismicity is caused by the collision of the Adriatic promontory with the African and Eurasian tectonic plates.

**Monitoring Systems:** The first seismological station established in 1968 has become a network consisting of 13 stations. The strong motion network deployed in 1985 consists of 29 SMA-1 accelographs and 45 WM-2 seismoscopes.

**Maps:** The first seismic zonation map based on intensity was compiled in 1952.

- In 1979 it was replaced by a MSK intensity map with values ranging from VI to IX on a scale of 1:500,000. It represents a 100 year exposure time and a 70 percent probability of nonexceedance.

Urban scale intensity maps have been prepared for the largest towns and cities (Volora, Durres, Shkodra, Korca, and Tirana.)

**Applications:** In 1979, the 1979 zonation map was incorporated in the Albania Aseismic Technical Regulations.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., April 15, 1979, Montenegro earthquake)
- Technology transfer by USGS and UNESCO in conjunction with the Balkans and SEISMED projects

### Algeria

**Principal Contact:** Dr. Mohamed Belazougui and D'Jamal El-Foul, Centre National de Recherche, Appliquee en Genie-Parasismique, Rue Kaddour Rahim Prolongee, BP 252 Hussein Dey, Algiers, Algeria

**Background:** Seismicity in Northern Algeria is related primarily to collision of the northward moving African and southward moving Eurasian tectonic plates.

**Monitoring Systems:** A telemetered network of digital seismographs and 200 accelographs were installed after the 1980 M 7.3 El Asnam earthquake.

**Maps:** The first national scale zonation map depicting probabilistic ground acceleration in national building codes was prepared in late 1970's with assistance from Stanford University. With support and technical assistance from UNESCO and the USGS, and investigations by a contractor, Woodward Clyde Consultants, seismic zonation maps (i.e., a maximum, historical MM intensity map and probabilistic ground acceleration, velocity, and spectral response maps) were prepared in 1984 for the Ech Chlef region (formerly El Asnam region). Urban scale (i.e., microzonation) maps were also prepared for Ech Chlef and nine other cities in the region. The zonation map for Algiers, the capitol, is underway.

**Applications:** Two governmental decrees of August 25, 1985 have served as a catalyst for earthquake risk management in Algeria, resulting in improved building codes, land-use planning, preparedness, and emergency response.

**Driving Forces:** The principal driving forces include:

- A damaging earthquake (e.g., the October 10, 1980, El Asnam earthquake which caused \$2 billion of direct damage, destroyed 20,000 plus buildings, killed 1,243, and injured 8,369).
- Technology transfer by USGS, UNESCO, Woodward Clyde Consultants, and the SEISMED project.
- Acquisition of modern monitoring systems through the PAMERAR project.
- Political support through government decrees in 1985.
- Development of institutional capacity (e.g., Centre National de Recherche) and professional capability (e.g., training and participation in regional and international conferences on seismic zonation).

## Argentina

**Principal Contact:** Juan S. Carmona, Instituto de Investigaciones Antisimica (IDIA), Universidad Nacional de San Juan, Ave. Libertador 1290-0, 5400 San Juan Argentina

**Background:** The seismicity of Argentina is related to complex interplate dynamics (e.g., subduction of the Nazca plate beneath the South America plate).

**Monitoring:** No information is available on the national seismic network or the strong motion accelographs.

**Maps:** Since the pioneering effort in 1965, the following national-scale seismic zonation maps have been constructed:

- Maximum MM intensity maps.
- Peak horizontal firm ground acceleration maps.

In addition, urban-scale (i.e., microzonation) maps have been prepared for the following cities: San Juan and Mendoza.

**Applications:** Seismic zonation maps have been incorporated in the national building code process since 1965. They have also been used for land-use regulations and urban planning. On the basis of the maps, 5 zones have been identified.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., 1861 and 1944 Mendoza and 1977 San Juan earthquakes).
- Technology transfer by USGS and others through the SISRA project and CERESIS.
- Development of long-term institutional and professional capacity.
- Political and societal concern for seismic safety of dams and hydrologic structures, nuclear power plants, offshore petroleum facilities, oil pipeline systems, and lifeline systems.

## Australia

**Principal Contacts:** Kevin McCue and Trevor Jones, Australian Seismological Center, Australian Geological Survey Organization, Canberra ACT 2601, Australia; Jack Rynn, The Center for Earthquake Research in Australia, P.O. 276, Indooroopilly, Brisbane, Queensland, 4068 Australia.

**Background:** The seismicity of Australia is related to complex intraplate dynamics.

**Monitoring:** The national seismic network consists of 35 seismic stations and 40 strong motion accelerographs.

**Maps:** Since the pioneering efforts in 1976 led to the publication in 1993 of national scale (1:10,000,000) seismic zonation maps which included the following:

- Maximum MM intensity maps.
- Peak horizontal bedrock ground acceleration maps.
- Peak horizontal bedrock ground velocity maps.

In addition, urban-scale (i.e., microzonation) maps have been prepared for the following cities: Brisbane, Queensland, Newcastle, Perth WA, and Sidney NSW. A liquefaction map has been prepared for an area near Rockhampton.

An effort is underway to generate a tsunami wave run-up map and also a landslide data base for Australia.

**Applications:** Seismic zonation maps have been incorporated in the national Australian building code process since 1979. The hazard map published in 1993 (having six zones) is presently incorporated in Australian Standard AS 1170.4--Minimum Design Loads on Structures: Part 4 Earthquake Loads. This standard is expected to be incorporated into the Australian building code in August 1994.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., destructive M 5.6 Newcastle earthquake of December 28, 1989 which occurred in a "zone 0" of the 1979 map, causing nearly \$1 billion in damage).
- Technology transfer through the WWSI project and regional activities.

### Austria

**Principal Contact:** Julius Drimmel, Central Institute for Meteorology and Geodynamics, Hobe Wart 38, A-1190, Vienna, Austria.

**Background:** Five source zones control the seismicity: 1) the Periadriatic Lineament separating the eastern and southern Alps. 2) Northern Tyrol. 3) Alpine Northern Rim fault. 4) Upper Mur Valley. 5) The Peripieninic Fault system.

**Monitoring:** No national network has been established.

**Maps:** Since the pioneering efforts in 1969 the following national-scale seismic zonation maps have been constructed:

- Maximum MSK intensity maps.

In addition, urban-scale (i.e., microzonation) maps have been started for Vienna.

**Applications:** Seismic zonation maps have been incorporated in the national building code process since 1979 (i.e., Austrian Standard ÖNORM B 4015 Part 1).

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes in the region that affect Austria.
- Development of long-term institutional and professional capacity.

## Bangladesh

**Principal Contact:** M. Muniruzzaman, Dept. of Physics, Jahangirnagar University, Savar, Dhaka, Bangladesh

**Background:** The seismicity of Bangladesh is related to complex interplate dynamics (e.g., subduction of the Indian plate beneath the Tibetan plate).

**Monitoring:** At present, no national network exists.

**Maps:** Since the pioneering efforts in 1979 by the Geological Survey of Bangladesh the following national-scale map has been constructed:

- Maximum MM intensity maps.

**Applications:** Seismic zonation maps have been incorporated in the Bangladesh national building code process since 1979. On the basis of the maps three zones have been identified: Zone 1 (most active--MM IX), Zone 2: (MM VIII), and Zone 3: (MM VII).

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., 43 events having maximum intensities of VI to IX have occurred this century).
- Development of long-term institutional and professional capacity.

## Canada (British Columbia)

**Principal Contact:** Tim E. Little and Kim M. Meidal, British Columbia Hydro, Burnaby, British Columbia, Canada

**Background:** The seismicity of British Columbia, the western most province, is related to complex interplate dynamics (e.g., subduction of the Pacific plate beneath the North American plate).

**Monitoring:** The seismic network at present consists of more than 50 seismic stations operated by the Geological Survey of Canada, BC Hydro, and University of BC and 80 strong motion accelerographs deployed by Geological Survey of Canada and BC Hydro. It can detect earthquakes of M 1 and M 2 in southwestern BC and M 3.5 over the rest of the province.

**Maps:** Since the pioneering efforts in 1953, the following national-scale and regional-scale seismic zonation maps have been constructed:

- Maximum MM intensity maps.
- Peak horizontal bedrock ground acceleration maps.

- Peak horizontal bedrock velocity maps.
- Liquefaction map on a scale of 1:75,000 for Southwest British Columbia.

**Applications:** Seismic zonation maps have been incorporated in the National Building Code of Canada since 1953. At present the code uses probabilistic maps and adopts an annual probability of exceedance of 1/475. There are no standards governing dams or electric system facilities except those developed by BC Hydro. On the basis of the maps seven zones have been identified.

**Driving Forces:** The principal driving forces include:

- More than 24,000 earthquakes have occurred between 1568 and 1988).
- Development of long-term institutional and professional capacity.
- Political and societal concern for seismic safety of hydrologic structures, .
- Development of modern monitoring capability.

#### **Caribbean (Eastern)**

**Trinidad, Tobago, Barbados, Grenada, St. Vincent, Grenadines, St. Lucia, Commonwealth of Dominica, St. Kitts/Nevis, Antigua, Barbuda, Auguilla**

**Principal Contact:** John Shepherd, Environmental Science Division, Lancaster University, Lancaster, LA1-4YQ United Kingdom

**Background:** The seismicity of the Eastern Caribbean is related to complex interplate dynamics of the Caribbean, Cocos, North American, and South American plates.

**Monitoring:** Seismicity has been monitored by the University of West Indies, LaMont Doherty, and others.

**Maps:** Since the pioneering efforts in 1978 the following "Eastern Caribbean Scale" seismic zonation maps have been constructed marking the first time the entire region has been consistently mapped. The following maps have been constructed:

- Maximum MM intensity maps.
- Peak horizontal bedrock acceleration maps.
- Peak horizontal bedrock velocity maps.

**Applications:** Seismic zonation maps have been incorporated in the Caribbean Uniform building code process since 1985. All of the Islands are in zone 3 except Barbados and Tobago (zone 1) and St. Vincent and Grenada (zone 2). On the basis of the maps three zones have been identified.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes.
- Technology transfer through projects supported by DHA (formerly UNDRO), PAIGH, USGS, and others.

### **Chile**

**Principal Contact:** Edgar Causel, Department of Geophysics, and Rodolfo Saragoni, Department of Engineering, University of Chile, Casilla 2777, Santiago, Chile

**Background:** The seismicity of Chile is related to complex interplate dynamics (e.g., subduction of the Nazca plate beneath the South American plate).

**Monitoring:** Chile has a national seismic network and strong motion arrays.

**Maps:** Since the pioneering efforts in 1969 the following national-scale and regional-scale seismic zonation maps have been constructed:

- Maximum MM intensity maps.
- Peak horizontal bedrock acceleration maps.
- Peak horizontal bedrock velocity maps.
- Total duration of shaking.
- Predominant Frequency.

**Applications:** Seismic zonation maps have been incorporated in the Chilean building code process since 1969. They have also been used for land-use regulations and urban planning. The pending new code will have three zones.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 9.5 May 1960 earthquake which caused 30,000 deaths and M 7.8 March 5, 1985, earthquake which caused losses of at least \$1.8 billion and 177 deaths).
- Technology transfer by USGS, the Government of Japan, and others through such projects as WWERM and SISRA and study projects on ports and bridges. CERESIS has provided ongoing support.
- Development of long-term institutional and professional capacity.
- Political and societal concern for seismic safety of nuclear power plants.

## Peoples Republic of China

**Principal Contact:** Yang Gunsin, Seismological Bureau of Liaoning Province, Shenyang, 110031, Liaoning Province, P.R. China and Tao Xiabin, Institute of Engineering Mechanics, State Seismological Bureau of China, Harbin 150080, P.R. China

**Background:** The seismicity of the China is related to complex intraplate and interplate dynamics. It is associated with the collision of the Indian Plate and the Eurasian Plate, uplift of the Qinghai-Xizang plateau plate, subsidence of the Talimu Plate, and the collision and underthrusting of the Pacific and Philippine Plates with the Eurasian plate.

Liaoning Province, located in northeast China, has a high level of seismicity and was the location of the 1975 Haicheng earthquake.

**Monitoring:** China has an extensive national seismic network consisting of several hundred seismic stations and 257 strong motion accelographs. The Liaoning Province has 20 of these seismic stations.

**Maps:** Since the pioneering efforts in the 1920's the following national-scale and regional-scale seismic zonation maps have been constructed:

- Maximum MSK intensity maps.

In Liaoning Province the following maps have been prepared on a scale of 1:25,000.

- Peak horizontal firm ground acceleration maps.
- Horizontal firm ground spectral response maps.

In addition, urban-scale (i.e., microzonation) maps have been prepared for cities such as: Beijing, Tangshan, Tianjin, Xian, Lanzhou, Shenyang, Dalian, Dongang, and Benxi.

**Applications:** Seismic zonation maps have been incorporated in the national building code process since the early 1970's. On the basis of the maps five zones have been identified. Recent editions (i.e., GBJ11-89) have considered the effect of soils, rock, and topography on ground shaking. The area encompassing intensity of VI or greater is 60 percent of the country. A 50-year exposure time and 90 percent probability of nonexceedance has been established for probabilistic maps. They have also been used for land-use regulations and urban planning, especially in Liaoning Province.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 7.3, 1975 Haicheng and M 7.8, July 28, 1976, Tangshan earthquake). Since 1900 about 2,600 damaging earthquake have occurred in China with 500 being greater than M 6.0.
- Technology transfer by USGS and others through the United States-Peoples Republic of China protocol.
- Development of long-term institutional and professional capacity.
- Political and societal concern for seismic safety of dams and hydrologic structures, nuclear power plants, and offshore petroleum facilities.

## Colombia

**Principal Contact:** Carlos Coral-Gómez, National University of Columbia, Ingeominas, Geophysical Division, Bogota, Colombia, South America

**Background:** The seismicity of Colombia is related to complex interplate dynamic interaction between the South American, Caribbean, Nazca, and Cocos plates.

**Monitoring:** The national seismic network at present consists of 14 seismic stations. It became operational after the M 5.5 Popayan earthquake of March 31, 1983, and the eruption of Nevada del Ruiz on November 13, 1985. With help from the government of Canada and UNDP, 25 additional seismic stations and 130 accelographs will be added during the 1990's.

**Maps:** Since the pioneering efforts in 1977 the following national-scale seismic zonation maps have been constructed:

- Maximum MM intensity maps.
- Peak horizontal bedrock acceleration maps.
- Peak horizontal bedrock velocity maps.

**Applications:** Seismic zonation maps have been incorporated in the Colombian Building Code process since 1984. They have also been used for land-use regulations and urban planning. On the basis of the maps three zones (low, intermediate, and high) (high risk zone corresponding with the pacific coast above the subducting with the Nazca plate) have been identified.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes and volcanic eruptions (e.g., M 5.5, March 31, 1983 Popayan earthquake, M 6.8, the recent earthquake of June 6, 1994 and the eruption of Nevada del Ruiz on November 13, 1985).
- Technology transfer by USGS through the SISRA project and other activities with support of CERESIS .

- Development of long-term institutional and professional capacity.
- Political support through Presidential Decree 1400 issued in 1984 establishing the first national building code having earthquake resistant design provisions.
- Development of modern monitoring capability with support of the government of Canada and UNDP.

### Costa Rica

**Principal Contact:** Walter Montero P., Central American School of Geology, National Seismological Network, Center for Geophysical Research, University of Costa Rica, Box 35-2060, San Pedro, Costa Rica

**Background:** The seismicity of Costa Rica is related to complex interplate dynamics (e.g., subduction of the Cocos plate beneath the Caribbean plate) and the triple junction of the Cocos-Caribbean-Nazca plates.

**Monitoring:** Costa Rica has a national seismic network and strong motion accelerographs.

**Maps:** Since the pioneering efforts in 1977 the following national-scale seismic zonation maps have been constructed:

- Maximum MM intensity maps.
- Peak horizontal bedrock acceleration maps.
- Landslide susceptibility maps.

**Applications:** Seismic zonation maps have been incorporated in the Costa Rican seismic code since 1977. They have also been used for land-use regulations and urban planning.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 7.6, April 22, 1991 earthquake).
- Technology transfer by Stanford University (Mortgat and others), USGS, US/Latin American Partnership, National Disaster Prevention Center (CENAPRED) supported by Japan and Mexico, CUSEC, and others.
- Development of long-term institutional and professional capacity.

## Cyprus

**Principal Contact:** George Constantinou, Director, Geological Survey Department, Ministry of Agriculture and National Resources, Nicosia, Cyprus

**Background:** The seismicity of Cyprus which is highest along the southern coast, is associated with the interaction of the Arabian and Eurasian plates and the tectonics of the Alpo-Himalayan belt.

**Monitoring:** The national seismic network at present consists of four seismic stations and four strong motion accelographs.

**Maps:** Since the pioneering efforts in the early 1970's the following national-scale seismic zonation maps have been constructed:

- Maximum MSK intensity maps.

**Applications:** Seismic zonation maps have been incorporated in the national building code process since 1986. They have also been used for land-use regulations and urban planning. On the basis of these maps five zones have been identified.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 6.5, June 1, 1977 earthquake).
- Technology transfer by USGS and others through the SEISMED and RELEMR projects.
- Development of long-term institutional and professional capacity.

## Czech Republic (and Slovakia)

**Principal Contact:** Vladimír Schenk, Geophysical Institute, Academy of Sciences of Czech Republic, Bodni II, c.p. 1411, 141 31 Praha 4-5 Pofilov, Czech Republic

**Background:** The seismicity is low to moderate.

**Monitoring:** The national seismic network at present consists of five seismic stations and two temporary arrays..

**Maps:** Since the pioneering efforts by Karnik and others in 1973 the following national-scale seismic zonation maps have been constructed:

- Maximum MSK intensity maps.

**Applications:** Seismic zonation maps have been incorporated in the national building code process since 1973. They have also been used for land-use

regulations and urban planning. On the basis of the maps four zones have been identified.

**Driving Forces:** The principal driving forces include:

- Long-term seismicity.
- Development of long-term institutional and professional capacity.

## **Egypt**

**Principal Contacts:** Ezz Eldin Ibrahim, Department of Seismology, National Research Institute of Astronomy and Geophysics, Helwan, Egypt; Amir W. Sadak, Structural Engineering Department, and Mohamed Sobaih, Department of Civil Engineering, Cairo University, Cairo, Egypt.

**Background:** Egypt is located in the northeast corner of the African Plate. Seismicity is controlled by movement along the following plate boundaries: the converging African and Eurasian plates on the north, the Arabian and African plates separated by the Levant Transform on the northeast, and the diverging Arabian and African plates separated by the Red Sea spreading zone on the south and southeast.

**Monitoring:** The national seismic network at present consists of ten seismic stations and seven strong motion accelographs. Additional seismic and accelograph stations were authorized after the 1992 Dahshour earthquake.

**Maps:** Since the pioneering efforts in 1982, the following national-scale seismic zonation maps have been constructed:

- Maximum MSK intensity maps.
- Peak horizontal firm ground acceleration maps.

**Applications:** Egypt does not yet have a building code with seismic design provisions. A draft regulation identifying four zones was proposed in 1988 by the Egyptian Society of Earthquake Engineering.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 5.9, October 13, 1992 Dahshour earthquake which killed and injured 7,000, damaged and destroyed 1,000 schools, and caused at least \$4 billion in economic losses).
- Technology transfer by USGS, UNESCO, and others through the SEISMED and RELEMR projects.

- Development of long-term institutional and professional capacity through participation in national, regional, and international conferences and training.
- Political and societal concern for seismic safety of the Aswan dam and other facilities.
- Development of modern monitoring capability through the PAMERAR project following the Dahshour earthquake.
- Development of Geographic Information System capabilities.

### **France**

**Principal Contact:** Pierre Mouroux, BRGM, 117 Avenue d Luminy, F-13009 Marseille, France and Bagher Mohammadioun, Institut De Protection Et De Surete Nucleaire, 60-68 Avenue du General Leclerc, BP 6, 92265 Fontenay-Auz-Roses, Cedex, France

**Background:** The seismicity of France is related to collision of the Eurasian and African plates.

**Monitoring:** France has a national seismic network.

**Maps:** Since the pioneering efforts in 1981 the following national-scale seismic zonation maps have been constructed:

- Maximum MSK intensity maps.

In addition, since 1982 a large number of urban-scale (i.e., microzonation) projects have been mandated by law in order to implement risk exposure plans in southeastern France. The maps from these projects are 1:5,000 to 1:10,000 and cover areas of 1000 km<sup>2</sup>.

**Applications:** Seismic zonation maps are currently being incorporated into the building code process.

**Driving Forces:** The principal driving forces include:

- Ongoing low to moderate seismicity.
- Technology transfer by USGS, UNESCO, and others through the SEISMED project. Other activities include the cooperative US-France post earthquake investigations following the 1988 Spitak, Armenia earthquake and the 1993 United States-France Workshop on Earthquake Hazard Assessment in the Eastern United States and Europe.
- Development of long-term institutional and professional capacity.

- Political support through legislation and governmental decrees such as the law of July 22, 1987 and the decree of May 14, 1991. The law requires risk assessment for "normal risk" and "special risk" structures.

## **Iceland**

**Principal Contact:** Hjörtur Thráinsson, Engineering Research Institute, University of Iceland, Hjarðarhaga 2-6, 107 Reykjavik, Iceland

**Background:** Iceland is characterized by low to moderate seismicity and volcanic activity.

**Monitoring:** The national seismic network at present consists of 46 seismic stations operated by the University of Iceland and the Geophysical Division of Icelandic Meteorological Office and 32 strong motion accelerographs operated by the Engineering Research Institute. In addition two earthfill dams, 2 hydroelectric power stations, one 14-story office building, and 1 base-isolated bridge have strong motion instruments.

**Maps:** Since the pioneering efforts in 1950's the following national-scale zonation maps have been constructed:

- Maximum MM intensity maps.
- Peak bedrock firm ground acceleration maps.

**Applications:** Seismic zonation maps have been incorporated in the national building code process since 1958. They have also been used for land-use regulations and urban planning. On the basis of the maps four zones have been identified.

**Driving Forces:** The principal driving forces include:

- Ongoing seismicity and volcanic activity.
- Development of long-term institutional and professional capacity.
- Political and societal concern for seismic safety of dams and hydrologic structures.

## **Indonesia**

**Principal Contact:** Abdul Hamid, Department of Civil Engineering, Tanjungpura University (UNTAN), Pontianak-Indonesia.

**Background:** The seismicity of Indonesia, which consists of 13,700 islands, is related to its location in the juxtaposition of three colliding plates: the Eurasian plate on the north, Pacific plate on the east, and the Indo-Australian plate on the south.

**Monitoring:** The national seismic network at present consists of 19 seismic stations, located mainly in Sumatra, Juva, Sulawesi, and other cities.

**Maps:** Since the pioneering efforts in 1980's the following national-scale seismic zonation maps have been constructed:

- Maximum Rossi Forel intensity maps.
- Peak horizontal bedrock acceleration maps.

**Applications:** Seismic zonation maps have been incorporated in the national building code process since the 1980's. They have also been used for land-use regulations and urban planning. On the basis of the maps four zones have been identified.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes and tsunamis (e.g., M 6.8, December 12, 1992, Flores earthquake which generated an 80 foot tsunami wave, damaged one-third of the buildings in Maumer, and killed at least 1,200). Approximately 10 percent of the world's seismicity occurs in Indonesia.
- Technology transfer by USGS (WWERM project), and Japanese Ministry of Construction, and others.
- Development of long-term institutional and professional capacity.
- Development of modern monitoring capability with the support of UNESCO and UNDP.

## Israel

**Principal Contact:** Avi Shapira, Seismological Division, Institute for Petroleum Research and Geophysics, P.O. Box 2286, Holan, 58122 Israel

**Background:** The seismicity of Israel is related to complex interplate dynamics (e.g., collision of the Eurasian, African, and Arabian tectonic plates). Israel is located adjacent to the Dead Sea Rift system.

**Monitoring:** The national seismic network at present consists of 36 seismic stations and 44 strong motion accelographs.

**Maps:** Since the pioneering efforts in the late 1970's, the following national-scale seismic zonation maps have been constructed:

- Maximum MSK intensity maps.
- Peak horizontal bedrock acceleration maps.

**Applications:** Seismic zonation maps have been incorporated in the Israel Code 413 "Seismic Design of Structures" since the 1980's. They have also been used for land-use regulations and urban planning. On the basis of the maps seven zones have been identified.

**Driving Forces:** The principal driving forces include:

- Many damaging earthquakes during the past 2 centuries.
- Technology transfer by USGS, UNESCO, and others through the SEISMED and RELEMR projects.
- Development of long-term institutional and professional capacity.
- Political and societal concern for seismic safety of a proposed nuclear power plants.

## Japan

**Principal Contact:** Takeyasu Suzuki, Technical Research and Development Institute, Kumagai Gumi Company, Ltd., 1043 Shimoyama Onigakubo Tsukuba-City, Ibaraki Pref. 300-22, Japan

**Background:** The very high seismicity of Japan is related to interplate dynamics (e.g., subduction of the Pacific and North American plates). Some 2,000 active faults have been identified on land.

**Monitoring:** Japan has an extensive network of seismic stations and strong motion accelerographs.

**Maps:** Since the pioneering efforts in 1951 by Kawasumi (who produced expected peak acceleration maps having return periods of 75, 100, and 200 years), the following national-scale seismic zonation maps have been constructed:

- Maximum intensity maps.
- Peak horizontal firm ground acceleration maps.
- Horizontal spectral response maps.
- Tsunami wave run-up maps.

Japan is a leader in seismic microzoning techniques based on microtremor data. This technique has been applied to microzone Kushiro City on Hokkaido Island and other cities.

**Applications:** Seismic zonation maps have been incorporated in the national building code process since the 1950's. They have also been used for land-use regulations and urban planning. On the basis of the maps three zones (high,

medium, and low) have been identified. Peak acceleration is the primary basis for the zones at present, although the importance of spectral response has been recognized since 1982.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 7.8, July 12, 1993 Hokkaido Nansei-oki earthquake which triggered a large tsunami having a run-up of 5 to 30 m and the M 8.0, 1923, Tokyo (Kanto) earthquake).
- Technology transfer through the United States-Japan Natural Resource (UJNR) Panel on Wind and Seismic Safety.
- Development of long-term institutional and professional capacity.
- Political and societal concern for a recurrence of the great Tokyo (Kanto) earthquake.

### **Jordan**

**Principal Contact:** Kays K. El-Kaysi, Department of Natural Resources Authority, P.O. Box 7, Amman, Jordan

**Background:** The seismicity of Jordan is related its location within the Arabian plate adjacent to the 1,000 km long Dead Sea Rift zone.

**Monitoring:** The national seismic network at present consists of 26 seismic stations and 12 strong motion accelerographs. Both networks were deployed with technical assistance of the USGS and support of PAMERAR.

**Maps:** Since the pioneering efforts in the late 1980's, the following national-scale seismic zonation map have been constructed:

- Maximum MSK intensity maps.

**Applications:** Seismic zonation maps have been incorporated in the national building code process since 1990. At present the building code includes a chapter for seismic resistant design. They have also been used for land-use regulations and urban planning. On the basis of the map three zones have been identified.

**Driving Forces:** The principal driving forces include:

- Long-term historical seismicity.
- Technology transfer by USGS, UNESCO and others through the RELEMR.
- Development of long-term institutional and professional capacity.

- Development of modern monitoring capability through the PAMERAR project with technical assistance of USGS.

### **Mexico**

**Principal Contact:** Luis Esteva, Coordinacion de la Investigacion Cientifica, UNAM, Ciudad Universitaria, Mexico 04510, DF, Mexico

**Background:** The seismicity of Mexico is related to complex interplate dynamics (e.g., subduction of the Cocos plate beneath the North American plate).

**Monitoring:** The national seismic network at present consists of 40 broad band seismic instruments linked by satellite plus a number of regional networks.

**Maps:** Since the pioneering efforts in the 1960's by Esteva and others the following national-scale and regional-scale seismic zonation maps have been constructed:

- Maximum MM intensity maps.
- Peak horizontal bedrock acceleration maps.
- Peak horizontal bedrock velocity maps.

In addition, urban-scale (i.e., microzonation) maps have been prepared for portions of Mexico City (e.g., the Old Lake Bed zone). Extensive research to delineate and understand S<sub>2</sub>, S<sub>3</sub>, and S<sub>4</sub> soils. The Lake Bed zone, the classic S<sub>4</sub> soil type, was identified after a damaging earthquake in 1957.

**Applications:** Seismic zonation maps, including soil amplification, have been incorporated in the Mexican Building Code since the 1960's. They have also been used for land-use regulations and urban planning.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 8.1, September 19, 1985 Mexico earthquake which caused 5,728 buildings in the Old Lake Bed zone in Mexico City to collapse which included hospitals, schools, and government buildings. This earthquake killed approximately 10,000 people and caused at least \$4 billion in damage.
- Technology transfer through projects such as CENAPRED.
- Development of long-term institutional and professional capacity.

### **Monaco**

**Principal Contact:** Nicole Bethoux and Philippe Mondielli, Service de L'Environnement, 3, Avenue de Fontvielle, Monaco.

**Background:** The seismicity of Monaco is related to the collision of the Eurasian and African plates.

**Monitoring:** The national seismic network at present consists of five seismic stations and 1 strong motion accelograph.

**Maps:** The following seismic zonation maps have been constructed for the Principality of Moaco:

- Maximum MM intensity maps.
- A regional tsunami wave run-up map is being developed by the University of Genoa.

**Applications:** These seismic zonation maps have not been incorporated in a building code for Monaco. At present architects are advised to apply French Rules PS 6982

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes in the Mediterrean region.
- Technology transfer by USGS and others through the SEISMED project.

## **Philippines**

**Principal Contact:** Raymundo Punongbayan, Director, Philippine Institute of Volcanology and Seismology, 6th Floor, Hizon Building, 2500 Quezon Avenue, Queson City, the Philippines

**Background:** The seismicity of the Philippines is related to its location between two colliding tectonic plates: the northeastward moving Pacific plate and the Eurasian plate which is being subducted beneath Luzon and Mindoro. The Philippine Islands are at risk from earthquakes, volcanoes, and tsunamis.

**Monitoring:** The national seismic network at present consists of about 15 seismic stations which are deployed to monitor active volcanoes and seismicity. In a volcano crisis additional instruments are provided by the USGS with support by AID/OFDA.

**Maps:** Since the pioneering efforts in 1990 the following national-scale seismic zonation maps have been constructed:

- Maximum Rossi Forel intensity maps.
- Peak horizontal bedrock acceleration maps.

**Applications:** Seismic zonation maps have been applied only in a general way in the national building code process and land-use planning.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 7.8, July 16, 1990 earthquake which killed 1,200 and caused at least \$500 million in damage) and volcanic eruptions (e.g., June 12, 1991 eruption of Mt. Pinatubo which after 450 years of quiescence affected over 1 million people and caused at least \$425 million in damage).
- Technology transfer by USGS (WWERM), and others in the USA, Japan and Europe.
- Development of long-term institutional and professional capacity.

## **Romania**

**Principal Contact:** Emil-Sevier Georgescu, Building Research Institute, Sos, Panteliman 266, 79614 Bucharest, Romania; Neculai Mândrescu, Centre of Earth Physics, P.O. MG-2, Bucharest-Magurele, Romania,

**Background:** The seismicity of Romania is related to the Vrancea Mountains, an active seismogenic structure of the eastern Carpathians which generates large magnitude, intermediate depth earthquakes about every 35 years.

**Monitoring:** Romania has a national seismic network.

**Maps:** Since the pioneering efforts in the 1970's in conjunction with the BALKANS project the following national-scale seismic zonation maps have been constructed:

- Maximum MSK intensity maps.
- Peak horizontal firm ground acceleration maps.

A geologic hazards map on a scale of 1:1,000,000 has been constructed for the Extra-Carpathian area.

**Applications:** Seismic zonation maps have been incorporated in the Romanian seismic code process since the 1970's. The 1990 code is based on the maximum intensity map.

**Driving Forces:** The principal driving forces include:

- Damaging Vranceas zone earthquakes (1471, 1620, 1738, 1802, 1829, 1838, 1894, 1940, and 1977). These earthquakes are characterized by long period ground motion and deep foci. The last two (M 7.4 and M 7.2) collectively

damaged approximately 33,000 dwellings, schools, hospitals, and other structures and killed 2,500.

- Technology transfer by USGS, UNESCO, and others through the BALKANS project.
- Development of long-term institutional and professional capacity.
- Political and societal concern for the recurrence of the next Vrancea earthquake and seismic safety of dams and a proposed nuclear power plant.

## Russia

**Principal Contact:** Nina J. Frolova, Scientific Research and Coordination Center for Seismology and Engineering, Russian Academy of Science, Moscow, Russia

**Background:** The seismicity of Russia is related to complex intraplate and interplate dynamics, the latter typified by collision of converging plates.

**Monitoring:** Russia has an extensive national network of seismic stations and strong motion accelerographs.

**Maps:** Since the pioneering efforts of Gorshkov during the 1930's and others such as Medvedev and Riznichenko afterwards the following national-scale and regional-scale seismic zonation maps have been constructed:

- Maximum MSK intensity maps (scale 1:5,000,000).

In addition, urban-scale microzonation of the largest cities was begun in the 1950's and by the end of 1991 intensity maps had been prepared for the capitals of all republics of the former USSR and tens of cities. These maps were reviewed and modified every 10 to 15 years or sooner after a damaging earthquake occurred (e.g., M 7, August 31, 1986 Carpathian earthquake). An extensive effort was made during this period to understand and delineate the effects of rock, soils, and topography on ground shaking. The comparison of the forecast values of seismic intensity and the observed ones agreed in some locations and disagreed in others and always provided a basis for revision of the maps.

**Applications:** Seismic zonation maps have been incorporated in the State Engineering Codes since 1957. They have also been used for land-use regulations and urban planning. These zones encompassed MSK intensities V to IX.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 7, August 31, 1986 Carpathian, M 6.8, December 7, 1988 Spitak Armenia).

- Technology transfer by USGS and others through the US-Russia (replacing the former USSR) protocol and other activities.
- Development of long-term institutional and professional capacity.

### **Saudi Arabia**

**Principal Contacts:** Dr. Abdullah M. Alamri, Professor, Department Of Geology, King Saud University, P.O. Box 2455, Riyadh, 11451 Saudi Arabia and Dr. Mohammad S. Al-Haddad, Engineer, Civil Engineering Department, King Saud University, P. O. Box #800, Riyadh 11421, Saudi Arabia

**Background:** The seismicity of Saudi Arabia is related to its location on the Arabian plate which is drifting northward 0.5 cm per year, generating earthquakes on its extensional (Red Sea rift) and translational (Dead Sea rift) plate boundaries.

**Monitoring:** The national seismic network at present consists of 46 seismic stations. King Abdulaziz City for Sciences and Technology (CASTS) has the responsibility for the earthquake threat in Saudi Arabia. Three universities are involved in the monitoring program: King Saud University, King Abdulaziz University, and King Fahd University.

**Maps:** Since the pioneering efforts in 1989 the following national-scale seismic zonation maps have been constructed:

- Maximum MM intensity maps.
- Peak horizontal bedrock acceleration maps (90 percent probability on nonexceedance in a 50-year period).

**Applications:** Saudi Arabia proposed Seismic Design Criteria in 1993 which was based on the acceleration maps and the uniform building code. Four zones are proposed.

**Driving Forces:** The principal driving forces include:

- Ongoing regional seismicity.
- Technology transfer by USGS, UNESCO and others through the RELEMR program.
- Development of long-term institutional and professional capacity.

**Slovakia (See Czech Republic)**

## Switzerland

**Principal Contact:** Dieter Mayer-Rosa, Swiss Seismological Service, Institute of Geophysics, and Eric Rüttener, Swiss Federal Institute of Technology, CH-8093, Zürich, Switzerland.

**Background:** The seismicity of southern Switzerland is related to the collision zone of the African and Eurasian tectonic plates.

**Monitoring:** The national seismic network at present consists of 23 seismic stations and 88 strong motion accelerographs. Thirty-seven accelerographs are in free field locations; the others are located on five dams and four nuclear power plants.

**Maps:** Since the pioneering efforts in the late 1970's, the following national-scale seismic zonation maps have been constructed:

- Maximum MSK intensity maps. The 1:500,000 scale maps are based on calculated occurrence probabilities on a 10 km grid for the intensity range V to IX for exposure times of 10, 100, and 1,000 years.

**Applications:** Seismic zonation maps have been incorporated in the national building code process since 1989. They have also been used for land-use regulations and urban planning. On the basis of the maps four zones have been identified.

**Driving Forces:** The principal driving forces include:

- Large, damaging historic earthquakes (e.g., October 18, 1356 Basle earthquake).
- Development of long-term institutional and professional capacity.
- Political and societal concern for seismic safety of dams and hydrologic structures and nuclear power plants.
- Development of modern monitoring capability.

## Turkey

**Principal Contacts:** Mustafa Erdik, Bogazici University, Kandilli Observatory and Earthquake Research Institute, Cengelköy, Istanbul, Turkey; Sinan Gencoglu, General Directorate of Disaster Affairs, Ankara, Turkey; and Atila Ansal, Istanbul Technical University, Maslak, Istanbul, Turkey

**Background:** The seismicity of Turkey is related to the complex interplate dynamics (e.g., collision of the Arabian and Eurasian tectonic plates). Turkey is being squeezed by the Arabian and Eurasian plates.

**Monitoring:** The National Seismic Network operated by Bogazici University consists of 40 seismic stations. In addition a 12-station network in central north Turkey is operated by the Earthquake Research Division of the General Directorate of Disaster Affairs.

The National Strong Motion Network operated by the Earthquake Research Division of the General Directorate of Disaster Affairs consists of 80 seismic stations. In the Istanbul area Bogazici University operates 40 stations and the Istanbul Technical University operates 10.

**Maps:** Since the pioneering efforts in 1945 the following national-scale and regional-scale seismic zonation maps have been constructed:

- Maximum MSK intensity maps.
- Peak horizontal firm ground acceleration maps.
- No tsunami run-up maps have been constructed to date, however, preliminary studies are underway to map the Aegean and the Marmara seashores.

In addition, one urban-scale (i.e., microzonation) map has been prepared for Istanbul and vicinity on the scale 1:10,000.

**Applications:** Seismic zonation maps have been incorporated in the national building code process since 1972. They have also been used for land-use regulations and urban planning. On the basis of the maps five zones have been identified having intensity ratings from V to IX. A probabilistic hazard map is used for the design of highway structures.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 8.0, 1939 and the M 6.8, 1992 Erzincan earthquakes).
- Technology transfer by USGS, UNESCO and others through the BALKANS, SEISMED and RELEMR projects.
- Development of long-term institutional and professional capacity.
- Political support through legislation and governmental decrees. The disastrous earthquake sequence of 1939-1944 in Erzincan prompted the enactment of the "Law Concerning the Pre and Post Earthquake Measures" in 1944 which required the assessment of hazardous zones, determination of appropriate building types, construction techniques and specifications in each zone, preparation of emergency response programs, and geologic studies for new settlements. The rapid development of the nation and the high rate of urbanization led to the "Law for Public Works (Urbanization)" in the 1956 and the "Law for Natural Disasters" in 1959.

- Political and societal concern for seismic safety of infrastructure to support the rapid urbanization.

### United Kingdom

**Principal Contact:** Gordon Woo, BEQE, Ltd., 94 Sheen Park, Richmond, Surrey TW9 IUP, England.

**Background:** The seismicity of Britain is related to its location on the western peninsula of the Eurasian plate.

**Monitoring:** Britains national seismic network, operated by the British Geological Survey, is capable of detecting events of M 2.5 or greater.

**Maps:** Neither the pioneering efforts which in 1976 nor the speical study commissioned in 1990 by the Department of the Environment which involved international experts from Greece and the United States have produced seismic zonation maps. The efforts did however provide a basis for assigning maximum values of MSK intensities and frequencies of recurrence throughout the United Kingdom.

**Applications:** The process of incorporating seismic zonation maps into the building code is still involving.

**Driving Forces:** The principal driving forces include:

- Ongoing regional seismicity.
- Technology transfer through various overseas development assistance projects and cooperative post earthquake investigations.
- Development of long-term institutional and professional capacity.
- Political and societal concern for seismic safety of proposed nuclear power plants.

### United States

**Principal Contacts:** Art Frankel, David Perkins, Paul Thenhaus, and E.V. Leyendecker, U.S. Geological Survey, Branch Earthquake and Landslide Hazards, Mail Stop 966, Denver Federal Center, Denver, Colorado 80225, USA; James Davis, State Geologist, California Division of Mines and Geology, 801 K Street, MS-1230, Sacramento, California 95814-3531, USA; Paul DuMontelle, Illinois State Geological Survey, 615 East Peabody Drive, Champaign, Illinois 61820-6964, USA.

**Background:** The seismicity of the United States is related to complex intraplate and interplate dynamics which cause extensional, translational, and compressional tectonic environments. Subduction of the Pacific Plate beneath the North American Plate and the Caribbean Plate beneath the North American Plate gives rise to the highest seismicity. The west coast, Hawaii, Puerto Rico, and the U.S. Virgin Islands are at risk from tsunamis.

**Monitoring:** The national seismic network at present consists of more than 1,000 seismic stations, mostly in California. More than 2,100 strong motion accelographs have been deployed, also mostly in California.

**Maps:** Since the pioneering efforts by Algermissen in 1969 the following national-scale and regional-scale seismic zonation maps have been constructed:

- Maximum MM intensity maps.
- Peak horizontal bedrock and firm ground (S<sub>2</sub>) acceleration maps.
- Peak horizontal bedrock and firm ground (S<sub>2</sub>) velocity maps.
- Horizontal bedrock and firm ground (S<sub>2</sub>) spectral response maps.
- Liquefaction maps.
- Tsunami wave run-up maps.

In addition, urban-scale (i.e., microzonation) maps have been prepared for the San Francisco Bay Region and several major cities throughout the Nation. Extensive research has been conducted to delineate and understand the characteristics of S<sub>2</sub>, S<sub>3</sub>, and S<sub>4</sub>, soils in urban areas..

Algermissen and Perkins applied the deductive method of probabilistic seismic hazard assessment (Cornell, 1968) to map the conterminous United States in 1976. They incorporated a range of inputs on seismic sources, maximum magnitudes, and bedrock acceleration attenuation functions for the eastern and western United States. The product was a national scale probabilistic acceleration map for a 50 year exposure time and a 90 percent probability of nonexceedance. These acceleration maps were improved in 1982 and the process was expanded to produce velocity maps for 10-, 50-, and 250-year exposure times. Spectral response maps were produced in 1988.

USGS development of the computer program EQRISK for the public domain in 1976 (R.K. McGuire) with subsequent updating in 1987 (D.M. Perkins and B. Bender) and 1992 (Hanson et al).

California Assembly Bill 3897 was enacted in 1990 following the Loma Prieta earthquake. It required the State to delineate: the geographic areas or zones of enhanced ground shaking due to proximity to the earthquake source or soil

amplification, the areas susceptible to liquefaction, and areas susceptible to large volume landslides.

Seven States in the Central Mississippi Valley area (i.e., the CUSEC States) began seismic zonation studies in 1993 with a goal of producing a 1:2,500,000 scale maximum MM intensity map. These States are at risk from recurrence of damaging earthquakes in the New Madrid seismic zone, the Wabash Valley seismic zone, or other seismic sources. Paleoseismicity studies conducted cooperatively by the Indiana Geological Survey, the USGS, and others have added significant new information on the earthquake history.

**Applications:** Seismic zonation maps have been incorporated in national model building codes since 1969. They have also been used for land-use regulations and urban planning. On the basis of the maps five zones have been identified. All model building codes are expected to use the same seismic risk map by the year 2000.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 7.1, October 17, 1989 Loma Prieta, California and the M 6.8, January 17, 1994 Northridge, California earthquakes causing aggregate losses of at least \$35 billion and the M 8.1, August 8, 1993 Guam earthquake ).
- Technology transfer by USGS, FEMA, NSF, NIST, and others through the National Earthquake Hazards Reduction Program.
- Development of long-term institutional and professional capacity through formation of regional organizations (e.g., CUSEC) and activities of professional and voluntary organizations (e.g., EERI and American Red Cross).
- Political support through legislation and governmental decrees (i.e., Presidential Executive Order 12699 issued on January 6, 1990).
- Political and societal concern for seismic safety and potential environmental impact of earthquakes on dams and hydrologic structures, nuclear power plants, offshore petroleum facilities, oil pipeline systems, and lifeline systems.
- Development of modern monitoring capability (i.e., the modernization of the National Seismic Network beginning in the 1990). When complete it will integrate local seismicity networks managed by universities with a satellite based network consisting of digital seismicity and strong motion instruments.
- Development of the Geographic Information System (GIS) to facilitate applications.

## Yemen

**Principal Contact:** Jamal Mohamed Sholan, General Director, Seismological Observatory Center, Ministry of Oil and Mineral Resources, P.O. Box 78175, Dhamar, Republic of Yemen

**Background:** The seismicity of Yemen is related to the spreading of the Red Sea.

**Monitoring:** The national seismic network at present consists of 18 seismic stations.

**Maps:** At present no national scale seismic zonation maps have been prepared, however, this is a near-term goal of the Yemen Earthquake Hazards Reduction Program (YEHRP).

**Applications:** No building code having seismic design provisions has been adopted.

**Driving Forces:** The principal driving forces include:

- Damaging earthquakes (e.g., M 5.7, 1982 Yemen earthquake).
- Technology transfer by USGS, UNESCO, and others through the RELEMR.
- Development of long-term institutional and professional capacity.
- Political support through legislation and governmental decrees (e.g., creation of YEHRP).
- Development of modern monitoring capability through PAMERAR.

# Post Earthquake Evaluation Program (PEEP)

Since 1990 the U.S. Geological Survey (USGS) has been responsible under Public Law 101-614 for organizing a comprehensive and integrated post earthquake investigations program after damaging US and foreign earthquakes. The primary concept of post earthquake investigations is to use a damaging earthquake as a scientific laboratory to study in cooperation with local experts in the impacted country and others the societal impact.

The Post Earthquake Evaluation Program (PEEP) was initiated in November 1992. The concept was created in a planning meeting convened in Strasbourg by the USGS, the United Nations Educational, Scientific and Cultural Organization (UNESCO), and the Open Partial Agreement on Major Hazards of the Council of Europe (OPA/COE).

Three types of studies are involved:

1. **Pilot** - the initial study conducted within the first few days to define the scope of subsequent studies.
2. **Reconnaissance** - the multidisciplinary studies initiated and completed within the first few months to expand the pilot study. These studies document what happened, acquire perishable data, and define the need for and scope of long-term follow studies to capture opportunities during the "window of opportunity." Typically during the window of opportunity, strategies for seismic zonation and other viable mitigation measures can be tested and/or implemented.
3. **Follow Up** - the multidisciplinary focused and fundamental studies initiated several months after the earthquake and lasting for several years which explain what happened in detail. These studies seek to derive the salient lessons from the experience and to provide a basis for renewed technology transfer and a call for changes in public policies and professional practices.

It is envisioned that PEEP will: a) create a mechanism for sharing information, b) strengthen interdisciplinary and interorganizational interfaces, c) increase the worldwide capacity for post earthquake investigations, and d) foster the adoption of prevention, mitigation, and preparedness measures.

A brief summary of the investigations after the M 6.8 January 17, 1994 Northridge, California earthquake is provided in another section.

# **M 6.8 Northridge, California Earthquake**

## **January 17, 1994**

Although not the "Big One" a M 8.3 event expected on the San Andreas fault system in Southern California, the M 6.8 Northridge earthquake centered 30 km (18 miles) from downtown Los Angeles is the costliest U. S. earthquake disaster. Estimates of losses are approaching \$25 billion with \$6 billion of the total from insured losses. The magnitude of the potential loss raised four major issues:

1. Why are there too few M6 to M8 earthquakes to account for the accumulated slip on the 300 known active faults in the Los Angeles basin and what are the long-term implications for the risk to Los Angeles in a direct hit?
2. Were 3-story apartment buildings and steel frame structures unusually vulnerable to a moderate M 6.8 earthquake, and, if so, what were the geologic, seismological, engineering, and public policy reasons? Will they also affect the magnitude of the loss in the "Big One?"
3. What would have happened to the 700,000 school children and 6 million commuters if the earthquake had occurred at 9:31 a.m. on a school and work day instead of 4:31 a.m. on a holiday?
4. What will be the long-term indirect cost and the impact of the devastated freeway system on the 6 million commuters and the region's economy?

### The earthquake:

- Occurred on a little known "blind" thrust fault underlying Northridge about 20 miles from the epicenter of the 1971 M 6.5 San Fernando event.
- Generated strong ground shaking throughout the major Los Angeles area with horizontal and vertical ground motions from the main shock and approximately 3,000 aftershocks during the first week reaching 1.8 g.
- Tested the design criteria for engineered buildings and lifeline systems constructed since 1971 and the recently developed retrofit technology for highway structures.
- Damaged portions of 11 major roads to downtown Los Angeles causing widespread traffic congestion due to rerouting around the damaged areas.
- Triggered local ground failure (liquefaction and landslides) causing gas and water pipelines to rupture resulting in fires, power outages, and disruption of water service during the first few days.

- Damaged more than 50,000 homes and apartments causing tens of thousands to seek temporary housing.
- Damaged 150 schools throughout the area causing students to miss classes for a week.
- Damaged hospital sprinkler systems and interiors, forcing relocation and evacuation of patients.
- Injured 6,547 and killed 63.

Within a few weeks after the earthquake, the US Congress approved Dire Emergency supplemental funding of \$15 million for long-range studies. Four categories of studies were solicited:

1. Studies to contribute to immediate recovery and mitigation needs.
2. Studies to learn the lessons on the hazard, built, and policy environments of the earthquake.
3. Studies to communicate these lessons to professions in California, throughout the Nation, and the world.
4. Studies to advance mitigation in California, throughout the Nation, and the world.

All studies are expected to begin in August 1994.

**Walter W. Hays**  
**U.S. Geological Survey**  
**June 20, 1994**

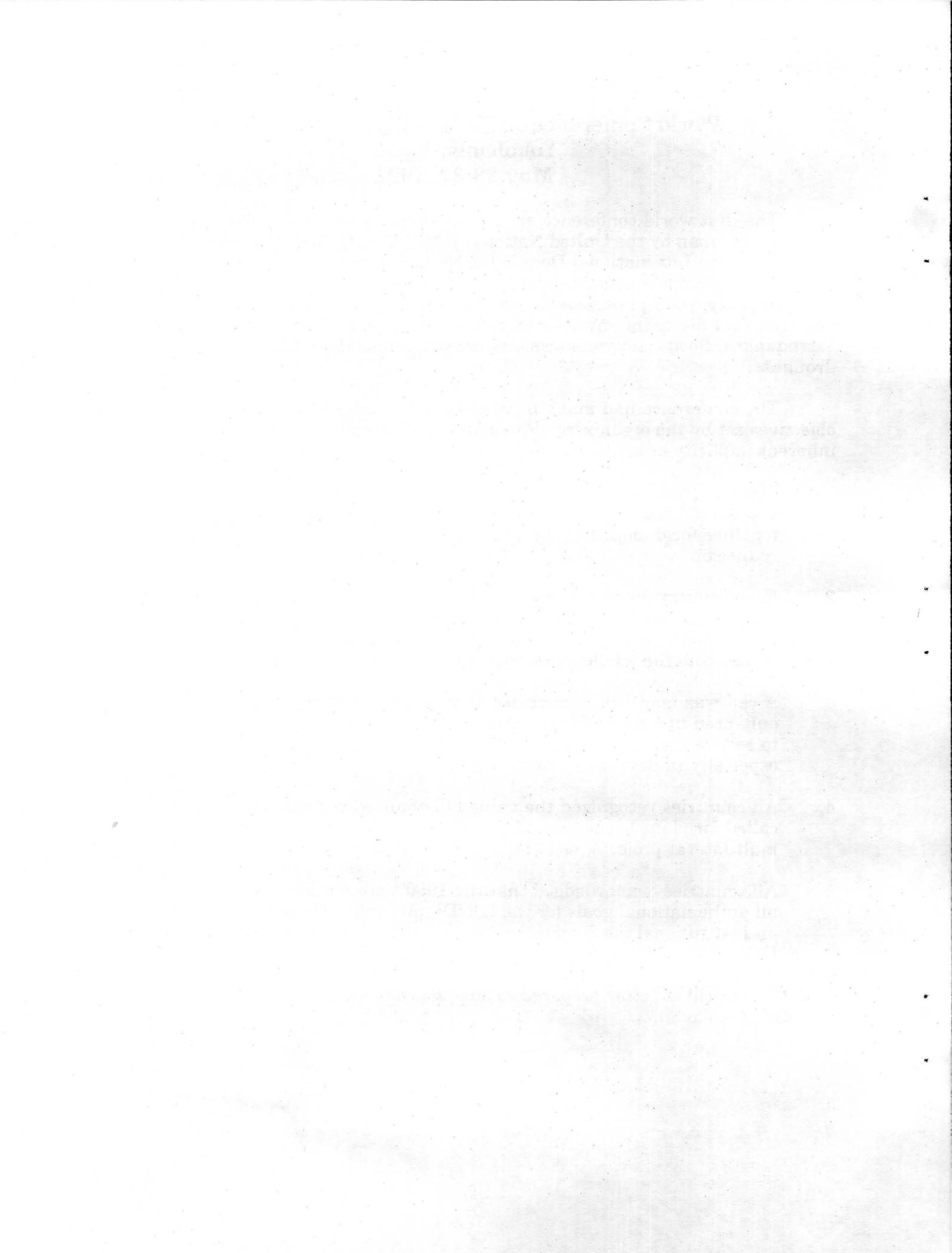
**World Conference on Natural Disaster Reduction**  
**Yokohama, Japan**  
**May 23-27, 1994**

The first world conference on natural disaster reduction was convened in Yokohama, Japan by the United Nations on May 23-27, 1994, approximately the midpoint of the International Decade for Natural Disaster Reduction (IDNDR). Hosted by the Government of Japan, the conference was attended by approximately 3,000 professionals representing 145 countries and every scientific and technical discipline involved in risk assessment and risk management for earthquakes, floods, severe storms, tsunamis, landslides, wildfires, and droughts.

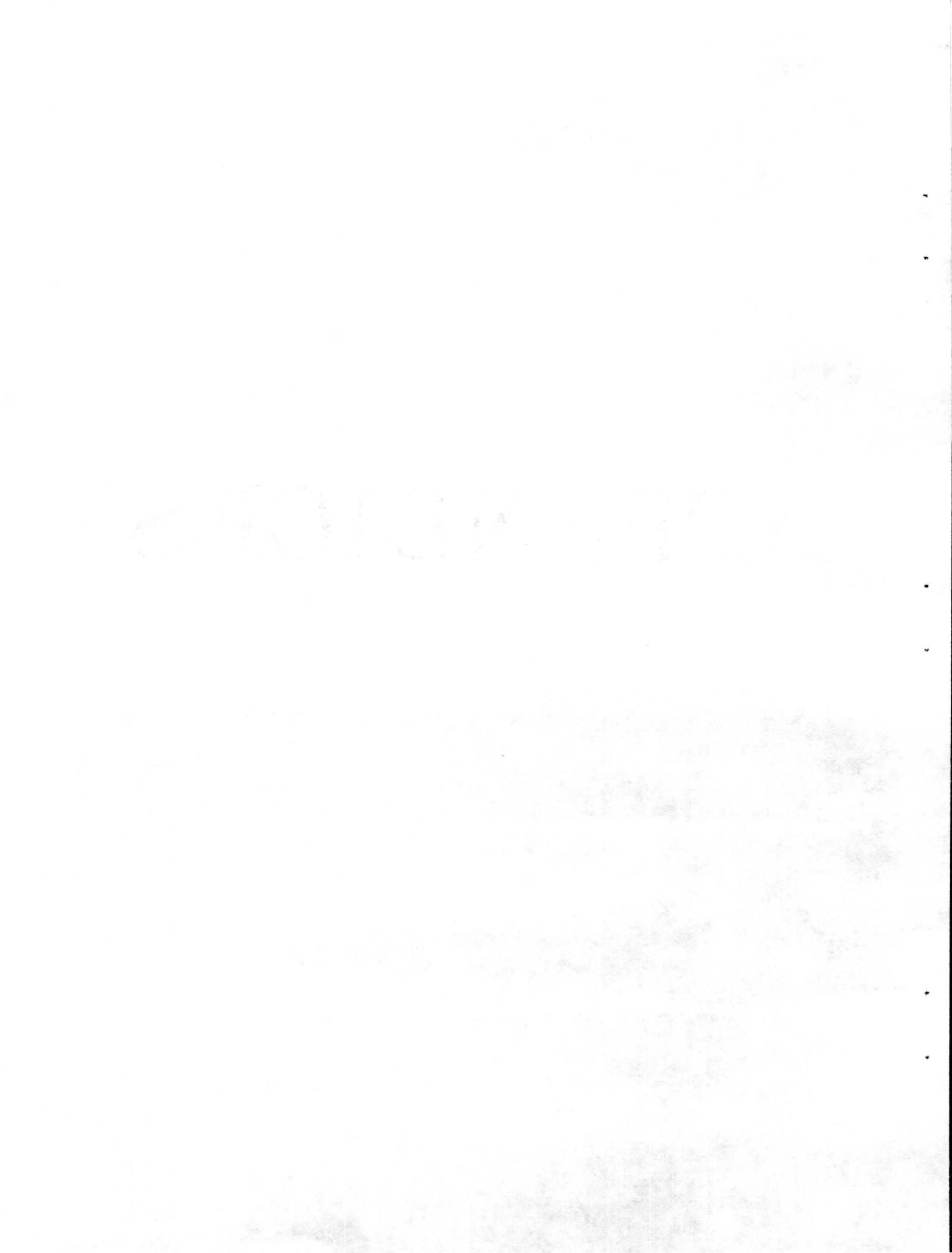
The conference had many highlights and succeeded in meeting the objectives set by the organizers. Five of the most notable accomplishments inherent implicitly or explicitly in the Yokohama declaration are noted below:

1. The conference marked the first time this premier worldwide group of experts on risk assessment and risk management had ever assembled together for dialogue on common problems related to natural disaster reduction.
2. Each country prepared and distributed a national report which gave their status of current activities and plans for the future for: a) **risk assessment**, b) **mitigation**, c) **warning systems**, and d) **international cooperation**. **This means that the whole world is thinking about these four themes.**
3. A call was made for accelerated technology transfer (i.e., transfer and enhanced utilization of information, data, and experienced people) in order to reduce the increasing toll of deaths and economic impacts worldwide, especially in developing countries.
4. All countries recognized the value of enhanced regional cooperation and called for plans to increase the number and diversity of bilateral and multilateral projects, especially with neighbors.
5. All countries acknowledged that the 1990's are not long enough to realize all of the national goals for the IDNDR and called for the establishment of an institutional mechanism and a long-term process to continue what the IDNDR has initiated.

The world is better prepared to face the challenge of natural disasters because of Yokohama.



# APPENDICES



## APPENDIX A

<p>FIRST INTERNATIONAL FORUM ON SEISMIC ZONATION STANFORD, CALIFORNIA AUGUST 30, 1991</p>
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One hundred fifty researchers and practitioners from 25 countries joined together to share their basic information, ideas, and experiences on seismic zonation and to plan future regional activities that will advance the practice of seismic zonation worldwide. The forum was scheduled immediately after the Fourth International Conference on Seismic Zonation to take advantage of the state-of-the-art research and applications presentations during the conference. The participants of the forum were able, therefore, to spend their time becoming better acquainted and in devising creative solutions to the basic problem identified during the conference, namely:

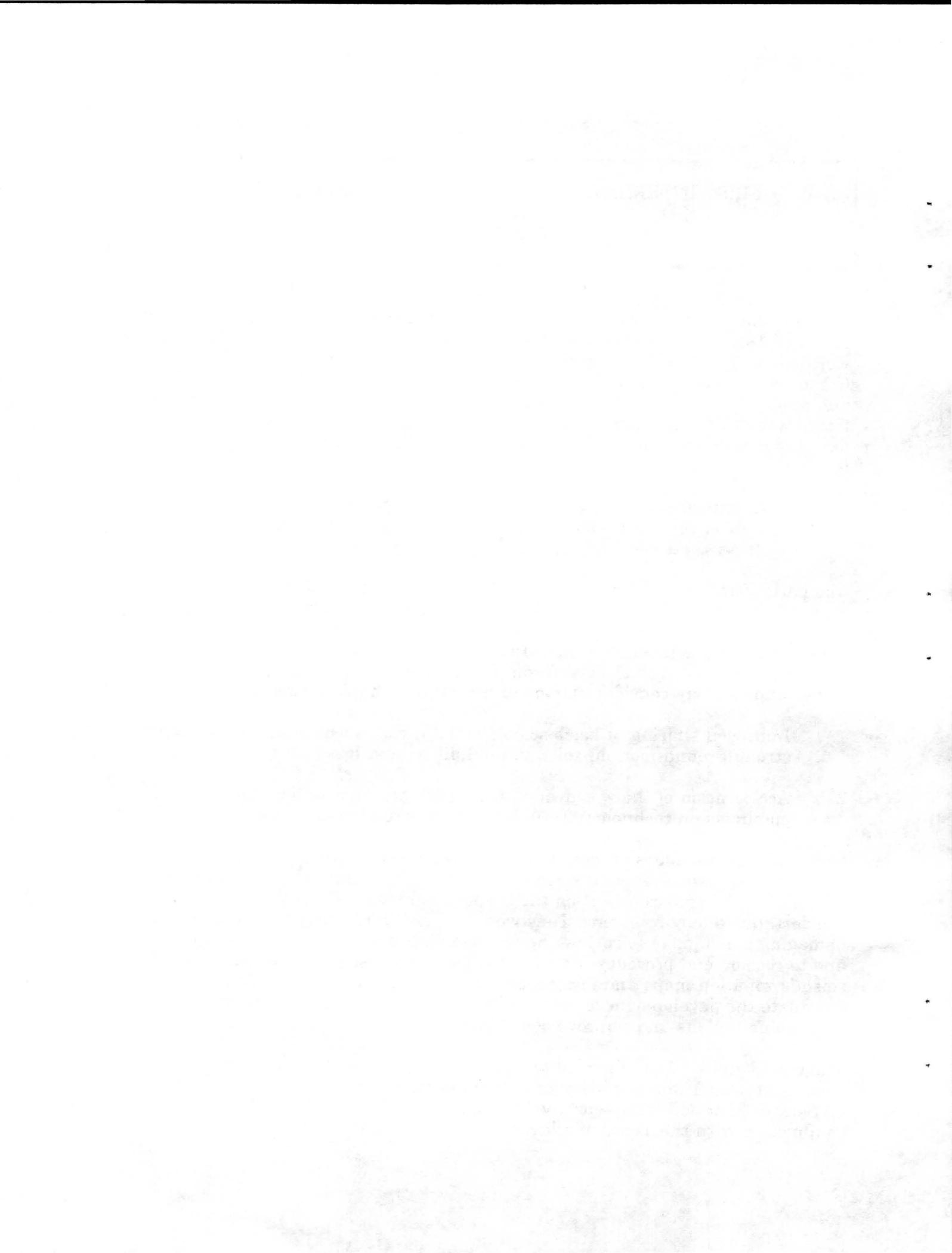
*an urgent need to find effective ways to understand, compare, and select the most relevant procedures and products, from the diverse set currently available, for use in their country.*

The participants of the forum requested that the sponsors: U.S. Geological Survey; United Nations Educational, Scientific, and Cultural Organization; International Association for Seismology and Physics of the Earth's Interior; the Secretariat for the IDNDR; Stanford University; Earthquake Engineering Research Institute; and the California Department of Conservation, Division of Mines and Geology consider actions to accomplish the following:

1. Improved sharing of basic scientific data (e.g., seismicity, paleoseismicity, strong ground motion, soils, vulnerability, and loss reduction measures).
2. Acceleration of the worldwide practice of seismic zonation with an emphasis on technology transfer and construction of maps.

One of the unique ideas proposed in the forum was the creation of an international "swat" team on seismic zonation. This team would operate in the post-earthquake environment on the bases of agreements and plans made in the pre-earthquake environment. They would become a resource immediately after a damaging earthquake to review the damage distribution in the stricken country and to recommend procedures for local experts to consider as they improve their seismic zonation maps and loss reduction measures. Such an activity would stimulate the development of standardized procedures and products in broad geographic regions and improve cost effectiveness.

The USGS and UNESCO agreed to begin working on establishing a steering committee which would initiate the planning for the Second International Forum on Seismic Zonation. It is tentatively scheduled for July 19-23, 1992, in conjunction with the Tenth World Conference on Earthquake Engineering.



## APPENDIX B

<p>SECOND INTERNATIONAL FORUM ON SEISMIC ZONATION MADRID, SPAIN JULY 23, 1992</p>
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International Fora on Seismic Zonation (IFSZ) are a joint initiative by the United Nations Educational, Scientific and Cultural Organization (UNESCO) and the U.S. Geological Survey (USGS) in the framework of their 1990 Memorandum of Understanding. The first forum was organized in August 1991 in Stanford, California, immediately after the 4th International Conference on Seismic Zonation. The second forum was organized in conjunction with the 10th World Conference on Earthquake Engineering. To date the following organizations have cosponsored the fora: USGS; UNESCO; International Association for Seismology and Physics of the Earth's Interior; the Secretariat for the International Decade for Natural Disaster Reduction; Stanford University; the Earthquake Engineering Research Institute; and the California Department of Conservation, Division of Mines and Geology. A Directory of International Resources for the Practice of Seismic Zonation has been compiled and is available from UNESCO, USGS, or any of the sponsors.

The goal of the second forum was "to develop consensus recommendations for a generic expert system on the practice of seismic zonation." An expert system is a software/hardware computer system which can help nonexperts solve a particular problem in science, engineering, or business. An expert system uses artificial intelligence concepts to ask questions, give advice, search for, and justify answers--tasks that are normally associated with human behavior and human intelligence. In addition the concept of a hypothetical International Team on Seismic Zonation (ITFZ) which could help to apply expert systems worldwide in either the postearthquake or preearthquake environment was examined as part of a long-term process to improve seismic zonation maps and earthquake risk management policies and practices."

Seismic zonation is the division of geographic region into smaller areas or zones expected to experience the same relative severity of an earthquake hazard (e.g., ground shaking, ground failure, surface faulting, tsunami wave runup, etc.). The resulting zonation maps provide community policymakers and decisionmakers with a wide range of options for ensuring sustainable development. For maximum benefit to policymakers and decisionmakers of a community, seismic zonation maps should integrate basic data on the solid earth system, the built environment, and the social-economic-political system of the community (Figure 1).

The key questions are summarized below.

1. Solid Earth System (i.e., defines the physical characteristics of the source, path, and site which control earthquake hazards (e.g., ground shaking and ground failure hazards)).

- Where have earthquakes occurred in the past?
- Where are they occurring now?

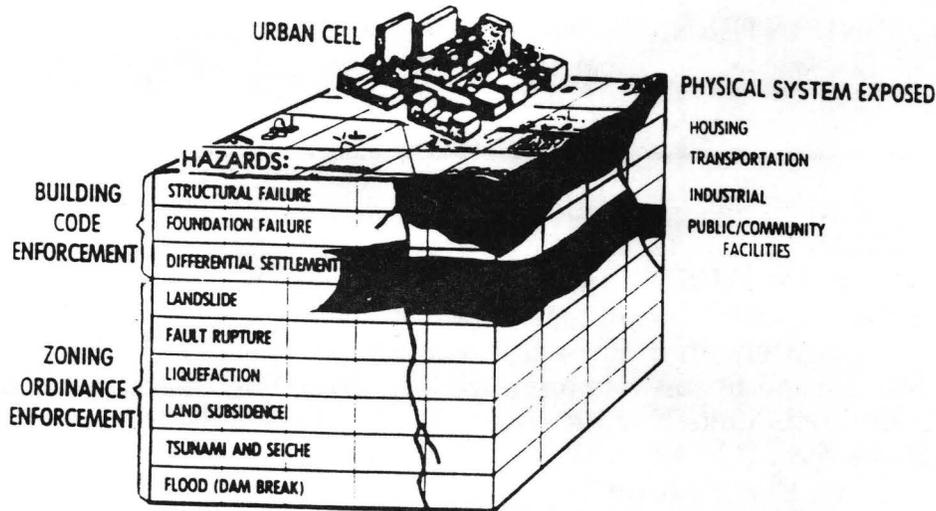


Figure 1. -- Seismic zonation requires an integrated assessment of a communities' solid earth , built environment, and social-economic-political systems.

- What is the magnitude and depth distribution of the past and present seismicity?
  - How often have earthquakes of a given magnitude recurred?
  - What are the dominant earthquake generating mechanisms?
  - What levels of ground shaking have occurred in the past? Ground failure? Surface fault rupture? Tsunami wave runup?
  - What are the maximum levels that might be expected in future earthquakes?
2. Built Environment System, (i.e., defines the temporal and spatial distribution of buildings and lifeline systems exposed to earthquake hazards).
    - What are the physical characteristics of the present inventory of buildings and lifeline systems (e.g., age, type of materials, number of stories, elevation, plan, foundations, etc.)? The future inventory?
    - How have these buildings and lifeline systems performed in past earthquakes (e.g., what are the vulnerability relations for each type of building and lifeline)?
    - ...
  3. Social-Economic-Political System, (i.e., defines the community's earthquake risk management policies and practices (e.g., mitigation, preparedness, emergency response, and recovery)).

- What risk management policies and practices (i.e., building and land use regulations) have been adopted by the community in the past?
- How have they been enforced?
- How effective have they been?
- ...

Seventy scientists and engineers from twenty countries attended the second forum and participated in three working groups formed several months before the forum. In the working groups, it became clear that the first step in addressing the problem of seismic zonation and in establishing the knowledge base for an expert system is to design a system that meets the needs of policymakers, decisionmakers, and public officials. The use of an expert system was recognized and acknowledged as an efficient way to assist and enable community and national leaders to decide on the most relevant policies to enact, and to provide a scientific and technical basis for decisionmaking. The categories of questions for the expert system on seismic zonation are those which will be asked by local officials whose communities have either been impacted by or are at risk from future earthquakes. The following questions were singled out for continued study:

1. What can happen during an earthquake in a community (i.e., a scenario)?
2. Do realistic scenarios already exist for communities and countries around the World? Can they be made available?
3. To what degree should the scenario encompass the relief, recovery/rehabilitation, and the reconstruction phases?
4. What are the timelines, opportunities, and mechanisms for dispatching a special postearthquake expert-team (i.e., the ITSZ)?
5. If the scenario is incorporated as part of the expert system, how should the scenario be adjusted to represent the actual damage and disruption?
6. What kinds of guidelines and/or questionnaires should be prepared to facilitate development of a realistic scenario?
7. What is the relationship between the ITSZ and the local national experts?
8. How should the reliability of the expert system and its authors be evaluated?

The participants agreed that a three-step process should be followed in order to accomplish the expert system within the next 2-3 years. The steps are:

- determine the questions that the system should respond to;
- develop a generic software system which incorporates the questions;

- test the system by applying it in earthquake prone regions either before or after a damaging earthquake, and refine it, as necessary.

The participants also proposed that the initial development of the expert system be undertaken by a working group to be created under the leadership of Professor Haresh Shah, and that Stanford University, one of the cosponsors of the forum, serve as the institutional headquarters of the group. Interested researchers and professionals willing to participate in the work are urged to contact Professor Shah.

The development of the expert system will be undertaken, to the extent possible, in cooperation with The International Association of Earthquake Engineering's new World Seismic Safety Initiative (WSSI). Collaboration with other existing programs such as the USGS's Worldwide Earthquake Risk Management program (WWERM) and the Global Seismic Hazard Assessment Program (GSHAP), a part of the International Lithosphere Program, will be encouraged.

The Third International forum on Seismic Zonation is being planned for May 6-7, 1993, in Memphis, Tennessee in conjunction with a National Earthquake Conference. Contact Dr. B. Rouhban (UNESCO) or Dr. W. Hays (USGS) for information.

#### ACKNOWLEDGMENTS

We acknowledge the support and contributions of our colleagues throughout the world. We especially want to express our appreciation to Dr. Pierre Mouroux, BRGM; Dr. James Davis, CDMG; and Dr. Guillermo Santana, University of Costa Rica; who chaired the three working groups, created before the forum. Special appreciation is also extended to Dr. Charles Thiel, who first suggested the idea of an ITSZ and facilitated discussions in Madrid.

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THIRD INTERNATIONAL FORUM ON SEISMIC ZONATION  
MEMPHIS, TENNESSEE  
MAY 5-6, 1993

Sixty five researchers and practitioners from 17 countries came together in conjunction with the 1993 National Earthquake Conference to share information and plan future regional activities that will advance the professional practice of seismic zonation worldwide. The participants were able to build on basic principles established in the first two fora and use the information on lessons learned from past earthquakes contained in 22 expanded abstracts prepared in response to a call for papers before the forum. They continued the dialogue on the following questions whose answers underpin the practice of seismic zonation (Attachment):

1. **Hazard Environment** (i.e., defines the physical characteristics of the source, path, and site effects; that is, the ground shaking and ground failure hazards).
  - Where did the earthquake occur relative to past occurrences?
  - What was the magnitude and focal depth of this earthquake? of the past and present seismicity?
  - How often have earthquakes of this magnitude occurred?
  - What were the dominant earthquake generating mechanisms?
  - What levels of ground shaking occurred in this earthquake? in the past? Ground failure? Surface fault rupture? Tectonic deformation? Tsunami wave runup?
  - What are the maximum levels that might be expected in future earthquakes?
  
2. **Built Environment** (i.e., defines the temporal and spatial distribution of buildings and lifeline systems exposed to earthquake hazards).
  - What are the physical characteristics of the present inventory of buildings and lifeline systems (i.e., age, type of materials, number of stories, elevation, plan, foundations, etc.)? The future inventory?
  - How did these buildings and lifeline systems perform? How are they expected to perform in future earthquakes. What are the vulnerability relations for each type of building and lifeline?
  - ...
  
3. **Policy Environment** (i.e., defines the community's earthquake risk management policies and practices)

- What risk management policies and practices (i.e., building and land use regulations) had been adopted by the impacted community?
- How had they been enforced?
- How effective were they?
- ...
- Was a scenario earthquake used by the community?
- Was it realistic?
- To what degree did the scenario encompass the relief, recovery/rehabilitation, and the reconstruction phases?
- Were postearthquake investigations conducted? What are the timelines, opportunities, and mechanisms for dispatching a special postearthquake expert-team (i.e., the ITSZ)? What should be the relationship between the ITSZ and the local national experts?
- If the scenario were to be incorporated as part of an expert system on seismic zonation, how should the scenario be adjusted to represent the actual damage and disruption?
- What kinds of guidelines and/or questionnaires should be prepared to facilitate development of a realistic scenario for future applications?
- How should the reliability of an expert system on seismic zonation and its authors be evaluated?

The sponsors: U.S. Geological Survey; United Nations Educational, Scientific, and Cultural Organization; International Association for Seismology and Physics of the Earth's Interior; the Secretariat for the IDNDR; Stanford University; Earthquake Engineering Research Institute; and the California Department of Conservation, Division of Mines and Geology are considering convening the Fourth International Forum for Seismic Zonation at **multiple locations**, candidates include: Yokohama, Japan (in conjunction with the **May 23-27, 1994** World Conference on Natural Disaster Reduction) and Chicago, Illinois (in conjunction with the **July 10-14, 1994** Fifth US Conference on Earthquake Engineering), Vienna, Austria (in conjunction with the **August 28-September 2, 1994** Tenth European Conference on Earthquake Engineering).

**The next steps are:**

1. Continuation of efforts to a) **share** basic scientific data (e.g., seismicity, paleoseismicity, strong ground motion, soils, vulnerability, and loss reduction measures), b) **foster** technology transfer for construction of maps, c) **develop an expert system** for seismic zonation, and d) **evaluate** strategies for an international team on seismic zonation (ITSZ).
2. **Extension of the call for expanded abstracts** that focus on lessons from one or two selected past damaging earthquakes that have (or could have) advanced the practice of seismic zonation in your country (Due February 14, 1994).

**REDUCTION OF COMMUNITY VULNERABILITY**

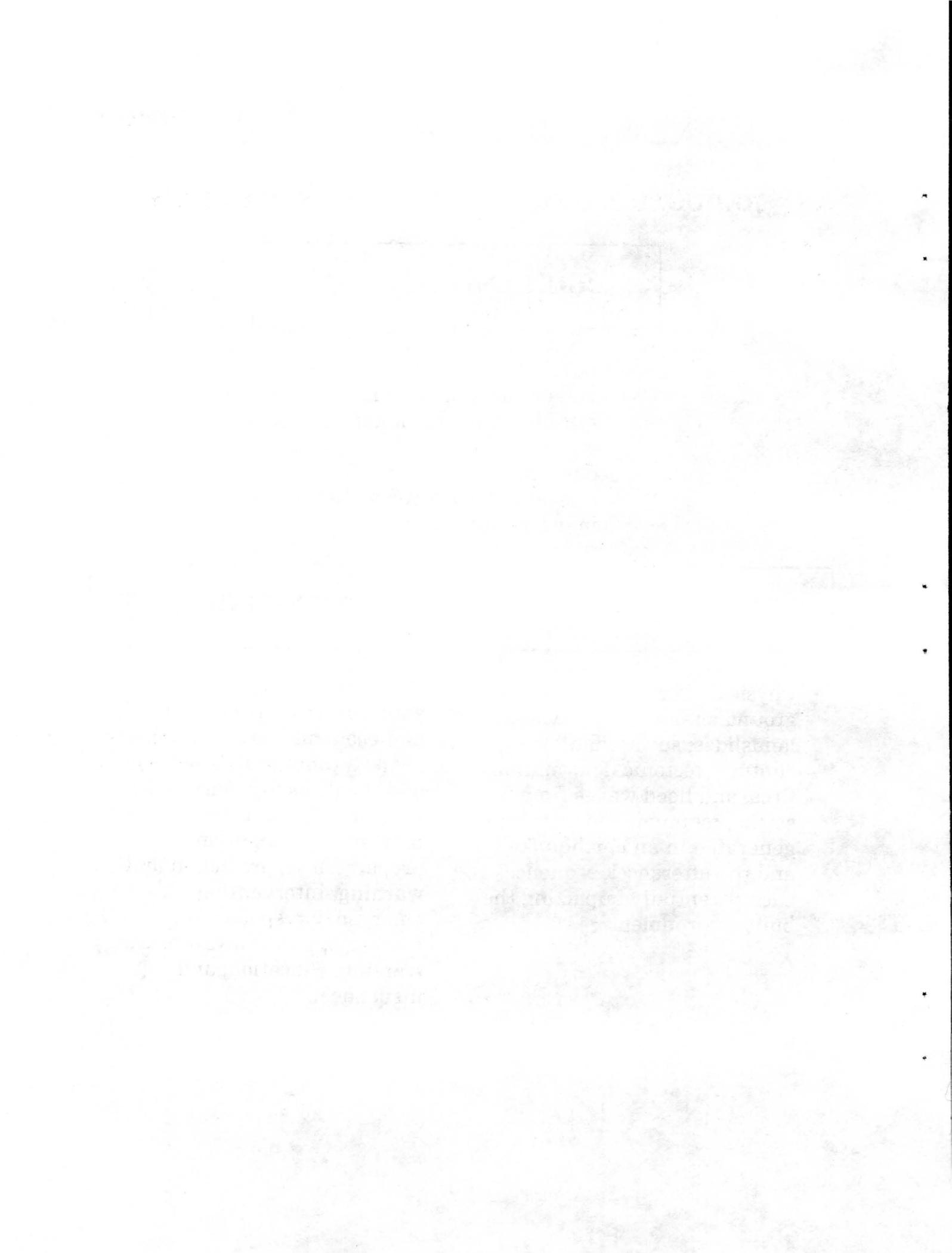
**BUILT ENVIRONMENT**

- Location, value, exposure, and vulnerability of buildings and lifelines at risk from earthquake physical effects (hazards) which can cause damage, failure, loss of function, release of hazardous materials, injuries, and deaths.

<b>HAZARD ENVIRONMENT</b>	<b>POLICY ENVIRONMENT</b>
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- Physical effects such as: ground shaking; liquefaction; landslides; surface fault rupture; tectonic deformation; fires, and flood waves from seiche, tsunami, and dam break generated in an earthquake and the aftershock sequence; each potentially impacting the built environment.

- Social, technical, administrative, political, legal, and economic forces which shape a community's policies and practices for: earthquake risk management (i.e., prevention, mitigation, preparedness, prediction and warning, intervention, emergency response, and recovery), public awareness, training, education, and insurance.



APPENDIX D

**DIRECTORY OF INTERNATIONAL  
PROFESSIONALS INVOLVED IN  
THE PRACTICE OF  
SEISMIC ZONATION**

(Third Edition)

Compiled as part of the First International Forum  
on Seismic Zonation, an activity of the  
International Decade for  
Natural Disaster Reduction

July 1994

Compiled by:  
Amanda Queen  
US Geological Survey  
June 1993

Updated  
July 1994

# **Directory of International Resources for the Practice of Seismic Zonation**

We know the directory is a dynamic document and is never fully complete or error free. Please address your correspondence with any corrections or additional listings of professionals to the attention of Dr. Walter Hays, U.S. Geological Survey (USGS), 905 National Center, Reston, Virginia 22092, USA, or Dr. Badaoui Rouhban, United Nations Educational, Scientific and Cultural Organization (UNESCO), Division of Earth Sciences, 7 Place de Fontenoy, 75700 Paris, France.



# Directory of International Resources for the Practice of Seismic Zonation

## Foreword

The purpose of this directory is to facilitate communication among professionals throughout the world who are committed to improving the worldwide practice of seismic zonation. Each one listed invites you to contact them, either to share your data, information, and experience or to request their data, information, publications, and technical assistance on seismic zonation.

We believe that this directory is complete; however, it may have unintentional errors or omissions. Please address your correspondence with any corrections or additional listings of professionals to the attention of Dr. Walter Hays, U.S. Geological Survey (USGS), or Dr. Badaoui Rouhban, United Nations Educational, Scientific and Cultural Organization (UNESCO).

The sponsoring organizations invite you to direct your inquiries for technical assistance to the following individuals who are serving on behalf of their organization:

1. International Association for Seismology and Physics of the Earth's Interior: Dr. Bruce Bolt, Seismographic Station, University of California, Berkeley, CA 94720, USA.
2. Stanford University: Dr. Haresh Shah, Chairman, Department of Civil Engineering, Stanford University, Stanford, CA 94305, USA.
3. Earthquake Engineering Research Institute: Ms. Susan Tubbesing, Executive Director, or Dr. Carl Stepp, or Mr. Lloyd Cluff, Presidents, 499 14th Street, Suite 320, Oakland, CA 94612-1902, USA.
4. California Department of Conservation, Division of Mines and Geology: Dr. James Davis, State Geologist, 1416 Ninth St., Suite 134, Sacramento, CA 95814, USA.
5. UNESCO: Dr. Badaoui Rouhban, Earth Sciences Division, 7 Place de Fontenoy, 75700 Paris, France.
6. USGS: Dr. Walter W. Hays, 905 National Center, Reston, VA 22092, USA; Dr. Walter Mooney, 345 Middlefield Rd., MS 977, Menlo Park, CA 94025, USA; Dr. John R. Filson, 922 National Center, Reston, VA 22092, USA; Dr. Randall Updike, MS 966 Denver Federal Center, Denver, CO 80225, USA; Dr. S.T. Algermissen, 917 National Center, Reston, VA 22092, USA.

We appreciate your support in our endeavor to improve the worldwide practice of seismic zonation.

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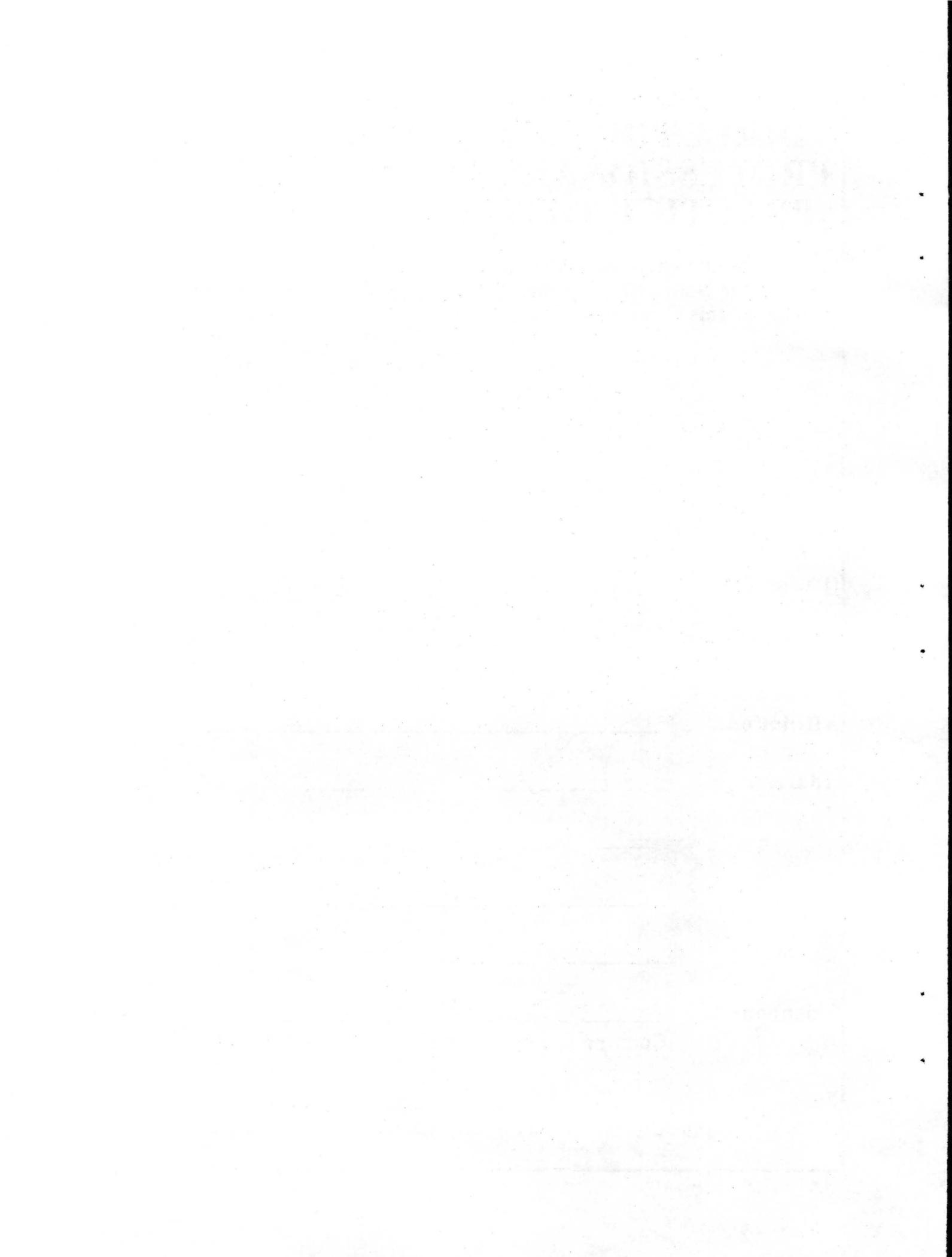
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