Bed-Material Entrainment Potential, Roaring Fork River at Basalt, Colorado

By John G. Elliott

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TOWN OF BASALT, COLORADO

Denver, Colorado
2002
Cover photograph:

Roaring Fork River at Basalt, Colorado

Aerial photograph taken November 8, 2000
Discharge approximately 290 cubic feet per second

Aerial photograph supplied by the Matrix Design Group, Denver, Colorado
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CONVERSION FACTORS, VERTICAL DATUM, AND ABBREVIATIONS

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**Sea level:** In this report "sea level" refers to the National Geodetic Vertical Datum of 1929—a geodetic datum derived from a general adjustment of the first-order level nets of the United States and Canada, formerly called Sea Level Datum of 1929
Bed-Material Entrainment Potential, Roaring Fork River at Basalt, Colorado

By John G. Elliott

Abstract

The Roaring Fork River at Basalt, Colorado, has a frequently mobile streambed composed of gravel, cobbles, and boulders. Recent urban and highway development on the flood plain, earlier attempts to realign and confine the channel, and flow obstructions such as bridge openings and piers have altered the hydrology, hydraulics, sediment transport, and sediment deposition areas of the Roaring Fork. Entrainment and deposition of coarse sediment on the streambed and in large alluvial bars have reduced the flood-conveying capacity of the river.

Previous engineering studies have identified flood-prone areas and hazards related to inundation and high streamflow velocity, but those studies have not evaluated the potential response of the channel to discharges that entrain the coarse streambed. This study builds upon the results of earlier flood studies and identifies some potential areas of concern associated with bed-material entrainment.

Cross-section surveys and simulated water-surface elevations from a previously run HEC–RAS model were used to calculate the boundary shear stress on the mean streambed, in the thalweg, and on the tops of adjacent alluvial bars for four reference streamflows. Sediment-size characteristics were determined for surficial material on the streambed, on large alluvial bars, and on a streambank. The median particle size \(d_{50}\) for the streambed samples was 165 millimeters and for the alluvial bars and bank samples was 107 millimeters.

Shear stresses generated by the 10-, 50-, and 100-year floods, and by a more common flow that just inundated most of the alluvial bars in the study reach were calculated at 14 of the cross sections used in the Roaring Fork River HEC–RAS model. The Shields equation was used with a Shields parameter of 0.030 to estimate the critical shear stress for entrainment of the median sediment particle size on the mean streambed, in the thalweg, and on adjacent alluvial bar surfaces at the 14 cross sections.

Sediment-entrainment potential for a specific geomorphic surface was expressed as the ratio of the flood-generated boundary shear stress to the critical shear stress \(\tau_o/\tau_c\) with respect to two threshold conditions. The partial entrainment threshold \(\tau_o/\tau_c=1\) is the condition where the mean boundary shear stress \(\tau_o\) equals the critical shear stress for the median particle size \(\tau_c\) at that cross section. At this threshold discharge, the \(d_{50}\) particle size becomes entrained, but movement of \(d_{50}\)-size particles may be limited to a few individual particles or in a small area of the streambed surface. The complete entrainment threshold \(\tau_o/\tau_c=2\) is the condition where \(\tau_o\) is twice the critical shear stress for the median particle size, the condition where complete or widespread mobilization of the \(d_{50}\) particle-size fraction is anticipated.

Entrainment potential for a specific reference streamflow varied greatly in the downstream direction. At some cross sections, the bed or bar material was mobile, whereas at other cross sections, the bed or bar material was immobile for the same discharge. The significance of downstream variability is that sediment entrained at one cross section may be transported into, but not through, a cross section farther downstream, a
situation resulting in sediment deposition and possibly progressive aggradation and loss of channel conveyance.

Little or no sediment in the d_{50}-size range is likely to be entrained or transported through much of the study reach by the bar-inundating streamflow. However, the entrainment potential at this discharge increases abruptly to more than twice the critical value, then decreases abruptly, at a series of cross sections located downstream from the Emma and Midland Avenue Bridges. Median particle-size sediment is mobile at most cross sections in the study reach during the 10-year flood; however, the bed material is immobile at cross sections just upstream from the Upper Bypass and Midland Avenue Bridges. A similar situation exists upstream from all three bridges in the study reach for the 50- and 100-year floods. Anecdotal evidence and aerial photographs from 1987, 1997, and 2000 indicate streambed aggradation or alluvial bar formation upstream from each bridge.

The reach downstream from the Upper Bypass Bridge was characterized by a consistently moderate to high entrainment potential at the 10-, 50-, and 100-year floods. Moderate to high entrainment potential in this reach may be a relict condition from a late-19th century effort to straighten the channel, an action that consequently steepened the channel as well. The potential for bed-material entrainment at all simulated flood discharges in this reach could indicate that this reach is an efficient transporter of supplied sediment. The river reach between the confluence of the Fryingpan River and the Midland Avenue Bridge is hydraulically complex, geomorphically dynamic, and not well represented by the HEC–RAS one-dimensional streamflow model used in this study. Aerial photography and anecdotal evidence indicate this reach recently has been an area of streambed deposition.

Entrainment estimates in this report are limited by the precision and availability of data that were used to calculate shear stresses under the discharge scenarios. The location and spacing of cross sections, the resolution of channel geometry from widely spaced surveyed points, and the model-generated water-surface slopes from the HEC–RAS model are relatively insensitive to the scale and scope of this investigation, the main flood-conveying channel where entrainable sediment is stored. Additional sediment measurements or onsite observation of sediment entrainment would allow calculation of the Shields parameter, rather than an estimate. More topographic detail of the streambed and channel in some reaches would allow more precise estimates of shear stress and sediment-entrainment potential.

INTRODUCTION

The town of Basalt, Colorado, is located at the confluence of the Fryingpan River with the Roaring Fork River in Eagle and Pitkin Counties (fig. 1). The Roaring Fork River is a single-thread, high-gradient, cobble/boulder-bed river that presents a natural hazard to the town, nearby residential structures, several bridges and roadways, and the municipal waste-treatment facility. The hazard originates from high velocity snowmelt runoff and a high bedload-transport rate that cause intermittent channel realignment as well as scour and deposition of coarse-grain bars and islands. Recent urban, highway, and recreational development on the flood plain, earlier attempts to realign and confine the Roaring Fork channel with retaining walls and levees, and flow obstructions such as bridge openings and piers have altered the hydraulics, sediment transport, and sediment deposition in the river at Basalt.

Gravel-, cobble-, and boulder-size bed material is transported almost annually in the Roaring Fork River. Deposition of this coarse sediment on the streambed and in large alluvial bars has reduced the flood-conveying capacity of the river at the Upper Bypass Bridge, through Basalt, and downstream from the Fryingpan River confluence. In addition, sedimentation may have diminished fish habitat in the study reach and affected the function of an irrigation intake structure (Dave Konchan, Town of Basalt, oral commun., 2000). Sediment must be mechanically removed from the Upper Bypass Bridge and other reaches annually to maintain channel conveyance.
Figure 1. Location of study area and the Roaring Fork River study reach at Basalt, Colorado.
Purpose and Scope

The Town of Basalt is considering several strategies to mitigate or accommodate high water and sediment discharges, including continued dredging, revised flood-plain zoning, and reconfiguring the channel cross section and sinuosity. Previous studies have identified flood-prone areas and hazards related to inundation and high streamflow velocity, but the studies have not evaluated response of the channel and the streambed to discharges that entrain, or result in movement of, coarse streambed sediment. This report quantifies the potential for sediment scour or deposition at specific locations in the Roaring Fork River and will enable the Town of Basalt to better assess hazards related to changes in floodflow conveyance and allocate resources in response to immediate or long-term channel degradation or aggradation. This report also addresses potential flood-conveyance problems and hazards to life and property associated with bed-material movement.

In this report:
1. A previously surveyed reach of the Roaring Fork River is reevaluated for the purpose of estimating bed-material entrainment potential. The reach begins just upstream from the Upper Bypass Bridge and extends approximately 1 mile downstream. The study reach includes the confluence of the Fryingpan River and three bridges.
2. Bed-material particle-size distributions at several locations in the study reaches where entrainment or deposition potentially could occur are determined.
3. Bed-material entrainment potential from the channel and adjacent alluvial bars, if present, are estimated at several previously surveyed cross sections for several flood-discharge scenarios.

Acknowledgments

The author wishes to acknowledge Dave Konchan (formerly of the Town of Basalt) for his overview of the problem and for assistance in sediment measurements. Mark Beckler (Sopris Engineering) supplied earlier digital survey files of the Roaring Fork River and provided survey control-point coordinates used to rectify the recent U.S. Geological Survey (USGS) channel survey. Robert Krehbiel (Matrix Design Group, Inc.) provided the rectified 2000 aerial photography and the HEC–RAS model output that was a critical component of the entrainment potential analysis. Rick McLaughlin (McLaughlin Water Engineers, Inc.) provided results of previous hydrologic investigations and the 1987 and 1997 aerial photographs, Paul Kinzel and Stevan Gyetvai (USGS) assisted with the 2001 water-surface survey, and Stevan Gyetvai used a geographical information system (GIS) to prepare composite images of the aerial photography and survey and sample-location information. Finally, the author sincerely appreciates the interest and cooperation of numerous landowners along the Roaring Fork River and their permission for access to the river.

Study Area

The Roaring Fork River emerges from a confined valley at Wingo onto a 1-mile-wide valley, approximately 2 miles upstream from Basalt. The valley width decreases to about 0.3 mile near the old town of Emma, approximately 1 mile downstream from the confluence of the Fryingpan River (fig. 1). Aerial photographs reveal several paleochannel positions, or that the river formerly had a multithread channel across the wide valley floor near Basalt. Some of these paleochannels are used as irrigation ditches, and others periodically convey floodflows not contained by the main channel. The gradient of the Roaring Fork River increases from about 0.008 ft/ft in the confined valley upstream from Wingo to about 0.014 ft/ft through most of the wide valley and into the town of Basalt. The Roaring Fork gradient then decreases to about 0.008 ft/ft immediately downstream from the Fryingpan River confluence in Basalt. The Fryingpan River gradient is about 0.015 ft/ft at its mouth in Basalt.

Streamflow in the Roaring Fork River upstream from the Fryingpan River confluence is largely unregulated except for a transmountain diversion through the Twin Lakes tunnel above Aspen and local irrigation diversions. Daily discharge records have been collected on the Roaring Fork at two nearby USGS streamflow-gaging stations: station 09073400 Roaring Fork River near Aspen (1964 to present, drainage area 108 mi$^2$), approximately 17 miles upstream from Basalt, and station 09081000 Roaring Fork River near Emma (1998 to present, drainage area approximately 853 mi$^2$), approximately 2.5 miles downstream from Basalt. Daily discharge records have been collected on
the Fryingpan River at station 09080400 Fryingpan River near Ruedi (1964 to present, drainage area 238 mi²), 12.5 miles upstream from Basalt (Crowfoot and others, 2000).

The Fryingpan River drainage area at the confluence is approximately twice the Roaring Fork drainage area upstream from the Fryingpan River confluence at Basalt; however, flood peaks on the Fryingpan River have been attenuated by Ruedi Reservoir since 1968. Streamflow regulation on the Fryingpan River may have affected sediment transport and deposition at and downstream from the confluence with the Roaring Fork River in Basalt. A segment of the Roaring Fork River in Basalt was straightened during railroad construction in the 19th century. This reduction in sinuosity and the resulting steeper river gradient facilitate the entrainment and transport of coarse streambed material. Areas of sediment deposition in the form of large alluvial bars composed of gravel, cobbles, and some boulders are present throughout the study reach (fig. 2).

Several feet of vertical aggradation by deposition of cobble- and boulder-size material have occurred under the Upper Bypass Bridge since 1995 (Dave Konchan, Town of Basalt, oral commun., 2000). The streambed elevation immediately downstream from the Fryingpan River confluence appears to be at the approximate elevation of the former flood plain, a surface now occupied by mobile homes, businesses, and multistory residences. A levee and a gabion retaining wall have been constructed to help prevent flooding in these areas. These and another levee upstream from the Upper Bypass Bridge complicate estimations of flood hydraulics.

Previous Investigations

Several engineering studies have been done by Federal agencies or commissioned by the Town of Basalt to determine flood-prone hydraulic conditions. Some of the previous studies have acknowledged the significance of floods with respect to streambed scour, aggradation, and channel realignment; however, none of the studies quantified sediment entrainment, transport, and deposition processes.


Numerous changes occurred in the Roaring Fork River channel between the late 1980's and the middle 1990's, including ongoing urban development, channel adjustments from a large flood in 1995, and the construction of the Highway 82 Upper Bypass Bridge beginning in 1987 and the Midland Avenue Bridge beginning in 1997. The July 1995 flood was estimated to have had a recurrence of 14 years at the Roaring Fork River near Aspen streamflow gage (09073400) and a recurrence of 28 years at the Roaring Fork at Glenwood Springs gage (09085000). Recognizing the potential effect of these changes on flood-prone areas, FEMA commissioned another study in 1997 to redefine the 100-year flood plain. The 1997 study used several channel cross sections in the study reach and the HEC–RAS one-dimensional hydraulic model, and recalculated flood-hazard areas for the Roaring Fork River channel and flood plain. Results of the 1997 study, with additional modifications, are summarized in a report by McLaughlin Water Engineers, Inc. (2000). Output from the HEC–RAS model and subsequent flood studies, including cross-section locations, flood-plain and channel topography, and water-surface profiles for floods of 10-, 50-, 100-, and 500-year recurrence intervals, were compiled by Matrix Design Group, Inc. (2000).

One noteworthy observation from earlier studies indicated that some flood discharge might be carried by a paleochannel that bifurcated just upstream from the Upper Bypass Bridge (figs. 1 and 2). This streamflow divergence occurred during the flood of 1957, a flood estimated to have a recurrence interval of approximately 60 years at the Glenwood Springs streamflow-gaging station (09085000) (U.S. Interagency Advisory Committee on Water Data, 1982). Floodwater carried by the paleochannel in 1957 inundated agricultural land south of Basalt. This area subsequently has been developed with retail and residential structures south of the realigned Highway 82.

Study Methods

Onsite evaluations were made of 18 channel cross sections (cross sections 2 through 19) used in the HEC–RAS model (Matrix Design Group, Inc., 2000).
Figure 2A. Aerial photographs of the study reach taken November 8, 2000, showing the Roaring Fork River at a flow of approximately 290 cubic feet per second, cross-section and sediment-sampling sites, and the edge of water at 1,260 cubic feet per second.
Roaring Fork River at Basalt, Colorado

Aerial Photograph taken November 8, 2000
Discharge approximately 290 cubic feet per second

Figure 2B. Aerial photographs of the study reach taken November 8, 2000, showing the Roaring Fork River at a flow of approximately 290 cubic feet per second, cross-section and sediment-sampling sites, and the edge of water at 1,260 cubic feet per second.
Figure 2C. Aerial photographs of the study reach taken November 8, 2000, showing the Roaring Fork River at a flow of approximately 290 cubic feet per second, cross-section and sediment-sampling sites, and the edge of water at 1,260 cubic feet per second.
Figure 2D. Aerial photographs of the study reach taken November 8, 2000, showing the Roaring Fork River at a flow of approximately 290 cubic feet per second, cross-section and sediment-sampling sites, and the edge of water at 1,260 cubic feet per second.
of the study reach (fig. 2). Most of these cross sections were surveyed in October 1998 and April 1999 (Mark Beckler, Sopris Engineering, oral commun., 2001); however, some cross sections were extrapolated from a digital topographic model (DTM). Four cross sections were excluded from the entrainment analyses because it was determined that the hydraulics at those locations were complicated by bridge contractions or piers or that channel geometry extrapolation from the DTM was unreliable (cross sections 4, 5, 12, and 17).

Water-surface elevations from the HEC–RAS model output were used to compute water-surface slope between the cross sections and to compute the hydraulic geometry at each cross section for four reference streamflows: the 10-, 50-, and 100-year floods and a more common streamflow that just inundated the high point of alluvial bars (fig. 3). Earlier flood-plain studies had suggested that up to about 43 percent of the 100-year flood discharge might be carried by a paleochannel that bifurcated just upstream from the Upper Bypass Bridge (fig. 2A) (Dave Konchan, Town of Basalt, oral commun., 2000). However, the HEC-RAS scenario used in this study assumed that all floodflows up to and including the 100-year flood were prevented from entering the paleochannel by a levee and were contained in the main channel and on the adjacent alluvial bars, terraces, and flood plain.

The maximum elevation of alluvial bars were identified in some of the Matrix Design Group, Inc., cross-section surveys made in 1998 and 1999; at other cross sections, the maximum elevations of alluvial bars were estimated by visual interpretation of the plotted streambed-surface profile. Alluvial bars were absent at three cross sections at the time cross sections were surveyed in 1998–99 (cross sections 2, 10, and 13). At cross section 14, alluvial bar 8050 had been reshaped considerably and shifted leftward between the time of the survey and the onsite assessment in 2000 (fig. 2). Consequently, entrainment-potential assessments were not made for alluvial bars at cross sections 2, 10, 13, and 14.

Figure 3. Cross section 6, approximately 675 feet downstream from Highway 82 Upper Bypass Bridge, showing surveyed and simulated water-surface elevations for several streamflows.
Bed-material measurements were made by the USGS using standard methods to determine particle-size characteristics of the channel and, if present, of the alluvial bars (Wolman, 1954) at 14 cross sections in the Roaring Fork River. Wolman “pebble counts” were made in a linear traverse of the channel cross section where the channel was wadeable in late November 2000. In unwadeable sections, pebble counts of the streambed were made in a random manner at one-footstep intervals in shallower areas where it was safe to wade. Pebble counts on alluvial bars and along one bank were made in a linear traverse parallel to the edge of water. Bed-material subsurface measurements were not made. Sediment-size characteristics calculated from the bed-material measurements were used to determine the critical shear stress for sediment entrainment.

The critical shear stress for entrainment of the existing sediment at 14 cross sections was compared to the boundary shear stress generated by the 10-, 50-, and 100-year floods and by a more common streamflow that just inundated the high point of the alluvial bars in the study reach. Critical shear stress and entrainment for the 500-year flood were not evaluated because the incremental increase in water-surface elevation above that of the 100-year flood was small. Boundary shear stress in the mean cross section and at specific points along the cross section were computed with depth and slope from the cross-section surveys and HEC–RAS simulated water-surface elevations for each of the four reference streamflows.

Entrainment estimates were made for the Roaring Fork River by using the method developed by Elliott and Hammack (1999 and 2000) for the Gunnison River in Black Canyon National Park. In the Black Canyon study, existing sediment-size characteristics were used with HEC–RAS streamflow model output to determine the flood discharges necessary to entrain surficial sediment from alluvial surfaces where it was desirable to scour and obliterate encroaching riparian vegetation. In the Roaring Fork study, existing sediment-size characteristics and HEC–RAS streamflow model output were used to evaluate the potential for existing alluvial deposits to become mobile under a range of flood-discharge scenarios.

This study used the output from the earlier HEC–RAS one-dimensional streamflow model (Matrix Design Group, Inc., 2000). No new floodflow routing calculations were made. The study examined floodflow conditions only in the existing main channel between the laterally confining terraces and levees. It assumed all floodflows were conveyed by the main channel through downtown Basalt and that no flow occurred through the paleochannel bifurcation just upstream from the Upper Bypass Bridge. Also, the study looked only at free-flowing subreaches of the river and excluded cross sections where the hydraulics computations were excessively complicated by bridge contractions and piers.

**BED-MATERIAL ENTRAINMENT ESTIMATION**

Bed-material entrainment estimates were made on the basis of existing sediment properties at several locations in the study area and on the anticipated local hydraulic conditions associated with the four reference streamflows.

**Water-Surface Profiles and Hydraulic Geometry**

The local hydraulic conditions for each reference streamflow were estimated with surveyed or extrapolated channel cross sections and with simulated water-surface elevations generated with the HEC–RAS one-dimensional streamflow model.

**HEC-RAS Calculations**

One-dimensional water-surface profile models such as HEC–RAS (Hydrologic Engineering Center, 1997) are used to estimate water-surface elevations, streamflow depths, and hydraulic conditions in river channels and adjacent flood plains. The HEC–RAS model uses surveyed cross sections of the river channel and the adjacent floodplain and terraces. One-dimensional modeling is appropriate where streamflow is uniform (velocity is relatively constant in both magnitude and direction between adjacent cross sections), but one-dimensional model output becomes less reliable in the vicinity of bridge piers and contractions if streamflow becomes nonuniform and critical. A two- or multi-dimensional water-surface profile model is more appropriate for complex hydraulic conditions such as when streamflow becomes nonuniform and critical; however, two- and multi-dimensional modeling was beyond the scope of this study.
HEC–RAS model output was provided by Matrix Design Group, Inc., (2000) and is used in this study to calculate water-surface slopes and hydraulic geometry variables for the 10-year, 50-year, and 100-year floods. The 500-year flood was modeled but was not used in subsequent analyses because the incremental increase in water-surface elevation above that of the 100-year flood was small. The HEC–RAS simulations assumed all flood discharge passed through the study reach. Earlier flood-inundation studies had determined that the discharges associated with the 10-year, 50-year, and 100-year floods had the following magnitudes in the study reach (Robert Krehbiel, Matrix Design Group, Inc., oral commun., 2001):

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<td>50-year flood</td>
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<td>100-year flood</td>
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Regional flood-frequency equations derived from an analysis of the magnitude and frequency of Colorado floods indicate that these discharge estimates for the 10-year, 50-year, and 100-year floods may be too large (Vaill, 2000); however, computation of revised flood magnitudes is beyond the scope of this report. Consequently, the flood magnitudes provided by Matrix Design Group, Inc. (2000) will be used hereafter.

Water-surface slopes for the 10-year, 50-year, and 100-year floods were calculated from the water-surface-elevation differences between adjacent surveyed or extrapolated cross sections (fig. 4). Slopes assigned to the various cross sections were the slopes of the subreach immediately downstream from the cross section.

**Additional Water-Surface Profiles**

The HEC–RAS model simulated water-surface elevations only for streamflow greater than or equal to the 10-year flood. Anecdotal and onsite evidence indicate that bedload transport commonly occurs at streamflows with less than a 10-year recurrence. Therefore, lesser streamflows may be significant in redistributing bed-material sediments in the study reach. The upper surface of point bars or other alluvial bars often correlates with the water surface of the bankfull discharge (Williams, 1978) or of a discharge that is significant in transporting bed-material sediment. The bankfull discharge is the most significant discharge in maintenance of the channel form in many streams (Wolman and Miller, 1960; Andrews, 1984). The study reach has several alluvial bars that are indicative of recent sediment entrainment (fig. 2A–D). Many of these bars are inundated simultaneously by a streamflow of approximately 3,000 ft³/s, measured at the gaging station downstream from the Fryingpan River confluence (Dave Konchan, Town of Basalt, oral commun., 2000). The “bar-inundating discharge” upstream from the Fryingpan River confluence is approximately 2,500 ft³/s. Based on this anecdotal observation and other studies (Jarrett and England, 2002), the elevation of the alluvial bar tops may be considered a surrogate for the water surface of a specific discharge, with an associated recurrence, common to several subreaches in the study area. A water-surface profile for the bar-inundating discharge was estimated from alluvial-bar surfaces expressed in the cross-section surveys (fig. 4A–D). A recurrence interval could not be estimated for the 3,000-ft³/s discharge because the streamflow record at the Emma gaging station, established in 1998, is too brief to calculate floodflow frequency statistics.

In addition to the water-surface elevations simulated by the HEC–RAS model and the estimated bar-inundating water-surface profile, another survey was made by the USGS in June 2001 at a discharge of approximately 1,260 ft³/s to determine the water-surface elevation representative of a low- to intermediate-streamflow condition (fig. 4A–D). A comparison of water-surface profiles in figure 4D reveals that the surveyed 1,260-ft³/s water-surface elevation is lower than the estimated bar-top elevations at cross sections 12, 13, 17, 18, and 19. This relation is logical if the assumption is correct that the alluvial bars in the study reach were formed by a discharge of 3,000 ft³/s or greater. However, the 1,260-ft³/s water-surface elevation is higher than the estimated bar-top elevations at cross sections 14, 15, and 16 (Bar 8050, fig. 2D). Possible explanations for this apparent contradiction could be that (1) local channel-geometry adjustments may have occurred in this subreach between the dates of the cross-section surveys (April 1999) and the water-surface survey (June 2001), or (2) alluvial bars
Figure 4A. Longitudinal profile of the Roaring Fork River at Basalt, Colorado, showing simulated water-surface profiles for various floods, the water-surface profile surveyed June 2001, and cross-section locations. Corresponds to stream segment shown in figure 2A.

Figure 4B. Longitudinal profile of the Roaring Fork River at Basalt, Colorado, showing simulated water-surface profiles for various floods, the water-surface profile surveyed June 2001, and cross-section locations. Corresponds to stream segment shown in figure 2B.
Figure 4C. Longitudinal profile of the Roaring Fork River at Basalt, Colorado, showing simulated water-surface profiles for various floods, the water-surface profile surveyed June 2001, and cross-section locations. Corresponds to stream segment shown in figure 2C.

Figure 4D. Longitudinal profile of the Roaring Fork River at Basalt, Colorado, showing simulated water-surface profiles for various floods, the water-surface profile surveyed June 2001, and cross-section locations. Corresponds to stream segment shown in figure 2D.
in the study reach are formed by multiple discharge magnitudes rather than by a single discharge common to all.

**Hydraulic Geometry**

Hydraulic geometry variables (cross-section area, mean depth, mean shear stress, and shear stress at a point) were calculated from the surveyed or extrapolated cross sections and the HEC–RAS water-surface elevations (fig. 3). These values and other information are presented in table 1.

**Bed-Material Characteristics**

Sediment-entrainment potential for a specific flood or runoff event is partly determined by the size characteristics of the material composing the streambed, alluvial bars, and streambanks. Bed-material characteristics were determined from onsite measurements at several locations in the study reach.

**Sampling Sites**

Sediment-size characteristics, including the median particle size ($d_{50}$), were determined for superficial streambed material, large alluvial bars adjacent to the low-flow channel, and one streambank using the Wolman (1954) method. Subsurface sediment size was not determined. The median sediment-particle size ($d_{50}$), the standard deviation of the $d_{50}$, and the geometric standard deviation were determined at 16 sites in the study reach (table 2).

Measurements of the streambed and one streambank were made in November 2000 when streamflow was very low. Measurements of alluvial bars were made in May and October 2001. Streambed measurements were made by traversing the low-flow channel from the left edge of water to the right edge of water and generally represent the coarsest material in the river channel. This material is inundated year round. The alluvial bars and banks are deposits that are inundated by relatively frequently occurring streamflows but are subaerially exposed for most of the year. The streambed in the low-flow channel at most cross sections in the study reach is composed of cobble-size (64- to 256-mm diameter) and boulder-size (greater than 256-mm diameter) material. The alluvial bars and banks are composed of slightly finer material in the gravel-size (4- to 64-mm diameter), cobble-size, and occasionally boulder-size range.

**Size Analysis**

The median sediment-particle size of the streambed samples ranged from 128 to 202 mm and averaged 165 mm (table 2). The geometric standard deviation is a nondimensional measure of the degree of sorting and is defined as the square root of the ratio of the 84th-percentile particle size to the 16th-percentile particle size (Vanoni, 1975, p. 36). For streambed samples, the geometric standard deviation ranged from 1.78 to 2.62.

The median sediment-particle size of the alluvial bars and one bank ranged from 32 to 182 mm and averaged 107 mm. The geometric standard deviation for most alluvial bar deposits ranged from 2.08 to 2.93. Two samples had very high geometric standard deviations. Bar 8650 had a geometric standard deviation of 24.13, indicating a very poorly sorted deposit. Bar 8650 was largely composed of cobble-size material (64- to 256-mm diameter) but had an extensive veneer of sandy material (less than 2-mm diameter), possibly deposited by a flow subsequent to the flow that deposited the cobble-size material. The one bank sample, Bank.7800, had a geometric standard deviation of 7.24, indicating poor sorting. The sorting characteristics of this bank might reflect a recent mechanical reworking of the bank material during channel stabilization activity.

**Flood-Generated Shear Stress and Entrainment Potential**

Sediment entrainment, or movement, in stream channels is partly a function of the boundary shear stress, a tangential stress created by flowing water acting on sediment particles resting on the streambed or other inundated alluvial surfaces. Entrainment potential for sediment on a specific geomorphic surface is estimated by the relation between flood-generated shear stress and the critical shear stress of the sediment particles, the shear stress at which general movement of sediment begins.

**Shear Stress Estimation**

Shear stress is proportional to the square of streamflow velocity and is most accurately determined
Table 1. Hydraulic geometry from selected cross sections in the Roaring Fork River study reach

[Upstream distance measured in channel from Garfield–Eagle County line; Matrix reference number from previously published report (Matrix Design Group, Inc., 2000); na, no alluvial bar, not applicable; cross sections 6, 7, 8, 9, 10, 11, 14, 15, 16, 18, surveyed; cross sections 2, 3, 13, 19 extrapolated from digital topographic model; lb/ft², pound per square foot]

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Table 1. Hydraulic geometry from selected cross sections in the Roaring Fork River study reach—Continued

[Upstream distance measured in channel from Garfield–Eagle County line; Matrix reference number from previously published report (Matrix Design Group, Inc., 2000); na, no alluvial bar, not applicable; cross sections 6, 7, 8, 9, 10, 11, 14, 15, 16, 18, surveyed; cross sections 2, 3, 13, 19 extrapolated from digital topographic model; lb/ft², pound per square foot]

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Table 2. Sediment-size characteristics of the streambed, alluvial bars, and streambanks of the Roaring Fork River at Basalt

[XChan; entire streambed sampled; RChan, right portion of streambed sampled; LChan, left portion of streambed sampled; location is upstream distance from Garfield–Eagle County line; geometric standard deviation = square root of the ratio of the 84th percentile size to the 16th percentile size; critical shear stress for the median sediment size calculated with equation 2 and a Shields parameter of 0.030; November 30, 2000, discharge approximately 280 ft$^3$/s; May 16–17, 2001, discharge approximately 1,200 ft$^3$/s; October 8, 2001, discharge approximately 395 ft$^3$/s; ft, feet; mm, millimeter; lb/ft$^2$, pound per square foot; mm/dd/yy, month/day/year; ft$^3$/s, cubic feet per second; m, meter; %, percent]

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<th>Sample code</th>
<th>Location, upsteam distance (ft)</th>
<th>Applicable to cross section, bar, or bank</th>
<th>16th percentile size (mm)</th>
<th>Median sediment size (mm)</th>
<th>Standard deviation (mm)</th>
<th>Geometric standard deviation</th>
<th>Critical shear stress (lb/ft$^2$)</th>
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Mean of streambed 165 1.68

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<th>Standard deviation (mm)</th>
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Mean of alluvial bars and banks 107 1.08
by measurements of velocity vectors in downstream, lateral, and vertical directions. When velocity data are unavailable, mean boundary shear stress in a channel cross section commonly is approximated by the relation between boundary shear stress, flow depth, and energy gradient, given by the duBoys equation (Chow, 1959, p. 168):

\[
\tau_o = \gamma DS
\]

(1)

where

- \(\tau_o\) is the mean boundary shear stress, in newtons per square meter;
- \(\gamma\) is the specific weight of water (9,807 N/m\(^3\));
- \(D\) is the mean flow depth, in meters; and
- \(S\) is the energy gradient, in meter per meter; water-surface slope is often used as a substitute.

Mean boundary shear stress in equation 1 (newtons per square meter) is converted to English units (pounds per square foot) by multiplying by 0.02088.

Because flow depth and water-surface slope vary with increasing or decreasing discharge, so too does the mean boundary shear stress. The assumptions for using equation 1 are (1) the channel cross section has a regular, or trapezoidal, shape and width at least 10 times greater than its depth, (2) streamflow is steady (there is a continuity of discharge from cross section to cross section in the reach), and (3) streamflow is uniform (velocity is constant in both magnitude and direction through the reach). Application of equation 1 is inappropriate in channel sections where there is a strong lateral variation in acceleration or where abrupt, local changes in streambed gradient occur, such as at bridge contractions and around bridge piers.

The channel in the study reach was not trapezoidal, although at most cross sections a single, dominant channel conveyed most of the streamflow of nonflood events. All cross sections examined in this study had an active channel width at least 16 times the mean flow depth of the active channel. Alluvial point bars and alternate bars were common in the study reach (fig. 2). The presence of alternate bars in the channel caused some diversion of flow around the bars in small chute channels, but this was considered to be insignificant in the shear stress calculations. The bar-inundating streamflow was the discharge at which the associated water surface just inundated the highest surface on the alternate bars. The bar-inundating streamflow was entirely conveyed by the active channel.

Streamflow of the 10-, 50-, and 100-year floods was conveyed by the active channel, adjacent flood-plain surfaces (fig. 3), and, at some cross sections, by formerly active channel segments known as paleo-channels (fig. 2C, between XS–10 and XS-11). Shear stress for this analysis was calculated only for the active channel and adjacent flood-plain surfaces. Although paleochannels and some flood-plain areas on the distal side of levees were inundated by floodflows, these areas were not included in the shear-stress or bed-material entrainment potential analyses. Streamflow upstream and downstream from the Fryingpan River confluence was assumed to be steady and, other than at the confluence of the Fryingpan River, there were no significant local inflows to either reach. Infiltration losses were assumed to be insignificant.

Most natural streams do not completely satisfy the assumptions for equation 1, and the boundary shear stress associated with any specific discharge is nonuniformly distributed across the channel. Lateral and downstream variations in cross-section morphology and variations in slope and energy gradient with discharge result in a wide range of boundary shear stresses and, consequently, produce spatially variable conditions for sediment entrainment, sorting, and deposition. Streamflow depths at specific points along each cross section were substituted for the cross-section mean flow depth \(D\) in equation 1 to examine the relative effects of different discharges at deeper and shallower locations within the study reach (Elliott and Hammack, 1999). Using water-surface elevation output from the HEC–RAS model, shear stresses associated with the 10-year, 50-year, and 100-year floods were calculated for the mean streambed (using the mean depth in the channel) and for the thalweg and alluvial bar tops (using point depths). In addition, shear stress associated with the bar-inundating discharge was calculated for the mean streambed and the thalweg. Cross-section geometry, the variation in cross-channel shear-stress distribution, and the mean shear stress for the 100-year flood and the bar-inundating discharge are shown for a typical cross section in figure 5.

Shear stress on the streambed also is highly variable from cross section to cross section in the Roaring
Fork River. The downstream variations in mean shear stress at the bar-inundating discharge and the 10-year, 50-year, and 100-year floods are shown in figure 6.

Critical Shear Stress

The periodic breakup and entrainment of the relatively coarse streambed surface in a gravel- and cobble-bed stream is the process by which a river maintains its channel dimensions and transports the water and sediment supplied to it. Periodic bed-material entrainment also is an important component of aquatic and riparian ecology (Milhous, 1982; Friedman and Auble, 1999). The critical shear stress ($\tau^c$) is the shear stress at which general movement, or entrainment, of streambed sediment begins and has been related to sediment-size characteristics (Shields, 1936; Lane, 1955; Fahnestock, 1963; Carling, 1983; Komar, 1987; Wilcock, 1992). Elliott and Parker (1997) and Elliott and Hammack (2000) used the Shields (1936) equation to estimate the critical shear stress for entrainment of sediment $d_{50}$ on a variety of alluvial surfaces:

$$\tau_c = \tau^c \left( \gamma_s - \gamma \right) d_{50}$$  \hspace{1cm} (2)

where

- $\tau_c$ is the critical shear stress, in newtons per square meter;
- $\tau^c$ is the dimensionless critical shear stress or Shields parameter;
- $\gamma_s$ is the specific weight of sediment (assumed to be 2.65 times the specific weight of water);
- $\gamma$ is the specific weight of water (9,807 N/m$^3$); and
- $d_{50}$ is the median particle size, in meters.

Critical shear stress in equation 2 (newtons per square meter) is converted to English units (pounds per square foot) by multiplying by 0.02088.

Use of equation 2 requires an estimated or calculated dimensionless critical shear stress, or
Shields parameter, $\tau^*_{c}$. Neill (1968) recommended a $\tau^*_{c}$ of 0.030 for streambeds composed of coarse materials; however, other investigators have demonstrated a variable $\tau^*_{c}$ in channels with mixed-size sediments (Komar, 1987) and in channels where the bed-surface size is significantly larger than the subsurface size (Parker and others, 1982; Andrews, 1983). Powell and Ashworth (1995) found $\tau^*_{c}$ varied with the strength of bed-surface structure. Tightly structured beds (those with sheltered particles, interlocked grains, or strong imbrication) had $\tau^*_{c}$ between 0.055 and 0.067, whereas loosely structured beds (those with an open-particle framework) had $\tau^*_{c}$ between 0.0096 and 0.011.

Elliott and Hammack (2000) determined from onsite observations that a $\tau^*_{c}$ value of 0.030 was appropriate for the coarse alluvial bar material in the Gunnison River in Black Canyon. The Roaring Fork River investigation did not include onsite observations of sediment entrainment or measurement of actual hydraulic conditions at the moment of sediment entrainment; therefore, the Shields parameter could not be precisely determined in this study as it could be in some flume and instrumented field studies. Critical shear stresses for each sediment measurement in the Roaring Fork study area were calculated with $\tau^*_{c}$ values of 0.030, a conservative value for sediment entrainment, but one that may be appropriate for a coarse-bed channel like the Roaring Fork River and for situations where hazard recognition is an objective for resource managers. Entrainment estimates using a conservative $\tau^*_{c}$ value of 0.030 imply that the streambed becomes mobile at a shallower flow depth and lesser discharge. If a larger value of $\tau^*_{c}$ was determined to be more appropriate for the Roaring Fork River, then deeper flows and greater discharge would be required to initiate movement of the streambed.

The experiments of Lane (1955) and Wilcock (1992, p. 297) indicate that equation 2 and $d_{50}$ can be used to provide a minimum estimate of the shear stress necessary to initiate general movement of a mixed-size sediment. Streamflows or floods that generate a boundary shear in excess of the critical shear should therefore cause the initiation of bed-material move-
ment. Over a period of time, and if great enough, the excess shear stress will result in bedload transport and, possibly, channel adjustments.

When the boundary shear stresses associated with a range of discharges, $\tau_o$, are compared to the critical shear stress, $\tau_c$, for the $d_{50}$ of the sediment, it is possible to evaluate the sediment-entrainment potential of each discharge with respect to the streamed in general or of a particular geomorphic surface or location on a cross section (Elliott and Hammack, 1999 and 2000). The critical shear stress associated with sediment entrainment (eq. 2) is, at best, a minimum estimate of the critical discharge because only a small area of the entire surface or only a few particles of the $d_{50}$ size may be entrained by the critical discharge (Lisle and others, 1993; Milhous, 1982). Wilcock and McArdell (1993) observed that complete mobilization of a size fraction, such as $d_{50}$, occurred at roughly twice the shear stress necessary to initiate movement of individual particles in that size fraction.

**Entrainment Potential**

Once the critical shear stress ($\tau_c$) has been identified for the sediment on a specific alluvial surface, the critical discharge (the minimum streamflow required to entrain sediment $d_{50}$) can be estimated by equating $\tau_c$ with the boundary shear stress ($\tau_o$) and using the relation between shear stress and discharge at a specific cross-section location. Sediment-entrainment potential for a specific geomorphic surface can be expressed as the ratio of flood-generated boundary shear stress to the critical shear stress ($\tau_o/\tau_c$). The $\tau_o/\tau_c$ ratio integrates several geomorphic and sediment variables (flow depth, energy gradient or water-surface slope, median sediment-particle size, critical shear stress) and is applicable over a wide range of variable values. When calculated for a range of discharges at several cross sections in a river reach, the $\tau_o/\tau_c$ ratio facilitates site-to-site comparison of entrainment potential and provides a method to evaluate the relative effects of different hypothetical flood discharges (Elliott and Hammack, 2000).

Entrainment potential was calculated for the four reference streamflows at 14 of the 18 cross sections in the 1-mile study reach of the Roaring Fork River (fig. 2). The entrainment potential at each cross section for a specific reference streamflow can be viewed in a downstream perspective. Figure 7A–D shows the entrainment potential for the channel thalweg (calculated from the greatest depth at each cross section), the mean streambed (calculated with the mean depth at each cross section), and the tops of the alluvial bars, if present and if inundated (calculated with the flow depth over the highest point on the bar).

Two entrainment threshold lines are plotted in figure 7A–D. The partial entrainment threshold ($\tau_o/\tau_c=1$) (solid horizontal line in fig. 7) is the condition where the mean boundary, or at-a-point, shear stress ($\tau_o$) just equals the critical shear stress for the median particle size ($\tau_c$) at that cross section. At this threshold streamflow, the $d_{50}$ particle size becomes entrained, but movement of $d_{50}$-size particles may be limited to a few particles and in a limited area of the streambed. The complete entrainment threshold ($\tau_o/\tau_c=2$) (dashed horizontal line in fig. 7) is the condition where $\tau_o$ is twice the critical shear stress for the median particle size, the condition where Wilcock and McArdell (1993) observed complete or widespread mobilization of a particle-size fraction such as the $d_{50}$. In most locations, $\tau_o$ increases with discharge (eq. 1), whereas $\tau_c$ is relatively constant for a given sediment $d_{50}$ (fig. 6). The ratio of $\tau_o/\tau_c$ generally increases with discharge, although the rate of change and the value of $\tau_o/\tau_c$ vary with channel configuration, local energy gradient, and local sediment size. Entrainment is anticipated and the critical discharge is attained when $\tau_o/\tau_c$ exceeds a threshold value of 1.0 (or 2.0 for complete mobilization); but as figure 7A–D illustrates, the critical discharge is not identical for similar geomorphic surfaces at different cross sections.

**Analysis**

This study investigates the entrainment, or movement, of sediment on the streamed and assumes that it is an indicator of the tendency for a river reach to transport or deposit sediment under a specific streamflow scenario. Entrainment estimates such as these are not surrogates for bedload-transport measurements or estimates; however, entrainment estimates can be used to assess the anticipated behavior of a river during floods of different magnitudes.

A stream channel in a "steady state" of equilibrium has a relatively constant cross-section geometry, downstream gradient, and planform pattern over time (Schumm, 1977, p. 96–100). Such a channel is considered stable because, even though the channel will gradually shift its location through the flood plain, it
Figure 7. Entrainment potential ($\tau_o/\tau_c$) of the streambed, thalweg, and alluvial bars at the (A) bar-inundating discharge, (B) 10-year flood, (C) 50-year flood, and (D) 100-year flood, Roaring Fork River at Basalt.
Figure 7. Entrainment potential ($\tau_o/\tau_c$) of the streambed, thalweg, and alluvial bars at the (A) bar-inundating discharge, (B) 10-year flood, (C) 50-year flood, and (D) 100-year flood, Roaring Fork River at Basalt—Continued.
will maintain its average cross-section area, width, depth, and pattern (sinuosity and bend radius of curvature). An equilibrium, or stable, channel has a form (fluvial geomorphology) that enables it to transport the water and sediment supplied to it without progressive, unidirectional aggradation or degradation (Leopold and others, 1964, p. 266–268). Channel segments in disequilibrium tend to have a fluvial geomorphology that reflects an imbalance between streamflow and sediment transport. Excess shear stress in alluvial channels can be associated with vertical or horizontal erosion (streambed scour or bank erosion). Deficient shear stress can be associated with channel aggradation.

Channel modifications by humans can cause a relatively stable channel to become unstable. For example, channel straightening by cutting off or shortening meander bends will steepen the flow path and increase shear stress on the streambed, often resulting in a degraded or incised channel. Constriction of the channel width, such as at a bridge crossing, can impound floodflows and create backwater areas. Backwater areas upstream from channel constrictions are associated with decreased flow velocity, water-surface slope, and shear stress, conditions that contribute to channel aggradation. Streamflow velocity and bed shear stress can increase at, and downstream from, a constriction and cause streambed scour in the channel. Large-scale eddies also can form downstream from a constriction, possibly resulting in lateral erosion of nonresistant streambanks and redeposition of sediment in the low-velocity areas of the eddy.

The mean shear stress on the Roaring Fork streambed at any reference streamflow is highly variable from cross section to cross section (fig. 6); however, the median sediment size of the streambed ranged from 128 to 202 mm (table 2), and the critical shear stress necessary to entrain the bed material fell within the relatively narrow range of 1.30 to 2.05 lb/ft² (fig. 6). Consequently, the plots in figure 7A–D show great downstream variability in entrainment potential at any reference streamflow. At some cross sections, the bed or bar material is mobile ($\tau_o/\tau_c$ plots above the threshold line), whereas at other cross sections, the bed or bar material is immobile ($\tau_o/\tau_c$ plots below the threshold line) for the same discharge. The significance of the downstream variability is that sediment entrained at one cross section may be unable to move at a cross section farther downstream, a situation resulting in deposition and possibly aggradation over a period of time.

The entrainment plot for the mean streambed during a bar-inundating streamflow (fig. 7A, solid line) indicates that little or no sediment in the $d_{50}$-size range is likely to be entrained or transported through much of the study reach. However, the entrainment potential increases abruptly to more than twice the critical value ($\tau_o/\tau_c$ greater than 2.0) at cross sections 13 and 18, then decreases just downstream. Cross sections 13 and 18 are located on the downstream faces of the Emma and Midland Avenue Bridges (fig. 2D), where the HEC–RAS-simulated water-surface slope steepens significantly. The abrupt increase in entrainment potential indicates that $d_{50}$-size material is moving at cross sections 13 and 18 ($d_{50} = 145$ and 128 mm, respectively, table 2). Of significance in this channel subreach is the indication that $d_{50}$-size material is not being entrained immediately downstream from both bridges at cross sections 14, 15, 16, and 19 ($d_{50}$-range 128 to 168 mm) by the same discharge. This spatial variation could indicate that sediment removed from the streambed at cross sections 13 and 18 is being deposited at the downstream cross sections over time, possibly aggrading the channel and reducing flood conveyance by decreasing the channel area. Sediment is more mobile in the thalweg (fig. 7A, short-dashed red line) than on the mean streambed (solid red line) because flow depths are greatest over the thalweg. No sediment is moving on the highest alluvial bar surfaces (square symbols) because, by definition, they are not inundated by this discharge.

The entrainment plot for the mean streambed during a 10-year flood (fig. 7B, solid line) indicates that some sediment is moving at most cross sections in the study reach. Exceptions are at cross sections 3 and 16, where mean streambed shear stress is less than the critical shear stress for entrainment of the $d_{50}$-size material. Because these cross sections are downstream from cross sections where some entrainment is occurring, there is a potential for aggradation over time. One noteworthy change in entrainment potential between the bar-inundating discharge and the 10-year flood is that the entrainment potential decreased at cross section 18. This decrease in the entrainment potential is a reflection of the decrease in water-surface slope downstream from cross section 18 as discharge increased (fig. 4, table 1). As with the bar-inundating discharge scenario, sediment is more mobile in the
thalweg (short-dashed red line) than on the mean streambed (solid red line), and entrainment-potential trends tend to mirror those of the mean streambed. All of the large, unvegetated alluvial bars visible in figure 2 are inundated by the 10-year flood (fig. 3), and sediment composing some of those bars is becoming entrained at this discharge (fig. 7B, square symbols).

The entrainment plot for the mean streambed during a 50-year flood (fig. 7C, solid black line) indicates that some sediment is moving at most cross sections in the study reach. Exceptions are at cross sections 3, 16, and possibly 11, where mean streambed shear stress is less than the critical shear stress for entrainment of the d50-size material. The entrainment potential at cross section 11 is slightly less for the 50-year flood than for the 10-year flood because the net effect of a decrease in water-surface slope outweighs the effect of an increase in mean flow depth (table 1). The entrainment potential downstream from the Emma Bridge (cross sections 13, 14, and 15) is great because of an increase in water-surface slope. The low value for entrainment potential at cross section 16 indicates potential aggradation during the 50-year flood. Sediment on most alluvial bars is potentially entrainable by the 50-year flood; however, sediment on the alluvial bars at cross sections 3, 11, and 16 does not appear to be mobile (fig. 7C, square symbols). Depending on the size of sediment transported from upstream and onto these surfaces, the alluvial bars at cross sections 3, 11, and 16 could be depositional sites during a 50-year flood.

The entrainment plots for the mean streambed (solid blue line) and for alluvial bars (square symbols) during a 100-year flood (fig. 7D) are similar to those of the 50-year flood (fig. 7C). At most cross sections, there is little increase in flow depth between the 50- and 100-year floods (fig. 3), and the water-surface slopes show little change (fig. 4, table 1). One change from the 50-year flood to the 100-year flood is an abrupt increase in entrainment potential at cross section 18, downstream from the Midland Avenue Bridge, where the HEC–RAS-simulated slope has increased.

An examination of the entrainment-potential plots for all four discharge scenarios (fig. 7A–D) reveals some characteristics or trends common to all scenarios. The ratio of $\tau_\phi/\tau_\mathrm{c}$ at cross section 3 never exceeded the partial entrainment threshold of 1.0, indicating no movement of d50-size sediment (152 mm) at this location. Because the streambed d50 upstream at cross section 2 (202 mm) is partially mobile ($1.0 < \tau_\phi/\tau_\mathrm{c} < 2.0$) for all discharge scenarios, it might be expected from this analysis that material entrained from the vicinity of cross section 2 would be deposited in the vicinity of cross section 3. Anecdotal evidence (Dave Konchan, Town of Basalt, oral commun., 2000), onsite observation, and the aerial photograph taken in November 2000 (fig. 2A) indicate that the channel segment between cross section 3 and the Upper Bypass Bridge is a location of periodic streambed aggradation. The alluvial bar (Bar 9600) in figure 2A has become larger since an aerial photograph was taken in 1987 (McLaughlin Water Engineers, Inc., 2000). From a channel maintenance and management perspective, the river segment upstream from the Upper Bypass Bridge has been, and could continue to be, an area of concern due to aggradation and loss of channel conveyance. Aggradation here likely is due to the backwater effects of the Upper Bypass Bridge, which causes a decrease in water-surface slope upstream, and partly is due to the channel curvature at Bar 9600 (fig. 2A), which dissipates streamflow energy and contributes to a loss of competence (that is, a decrease in the maximum particle size the channel can transport).

The ratio of $\tau_\phi/\tau_\mathrm{c}$ for the mean streambed at cross sections 6, 7, 8, and 9 is near to, or exceeds, the partial mobility threshold ($\tau_\phi/\tau_\mathrm{c} = 1.0$) for all simulated streamflows (fig. 7A–D). The entrainment potential for the deeper thalweg part of the channel is well above the complete entrainment threshold ($\tau_\phi/\tau_\mathrm{c} = 2.0$) at these cross sections also. The reach including cross sections 6, 7, 8, and 9 is slightly sinuous (fig. 2B), but this reach was artificially straightened during railroad construction in the late 19th century (Dave Konchan, Town of Basalt, oral commun., 2000). The consistently moderate to high entrainment potential in this reach may be a relict condition from the earlier effort to straighten the channel, an action that consequently steepened the channel as well. The probability of bed-material entrainment at all simulated discharges in this formerly straightened reach could indicate that the reach is an efficient transporter of supplied sediment, assuming that the supplied sediment has a particle size comparable to the sediment measured at these cross sections and alluvial bars (table 2).

A channel avulsion, or cutoff, of a meander between cross sections 10 and 11 occurred sometime between 1987 and 1997 (fig. 2C). The effect of this
Cutoff was to shorten the flow path and steepen the slope between cross sections 10 and 11. All simulated reference streamflows between these cross sections are laterally confined by a terrace on the north; however, the abandoned channel segment on the south has a sufficiently low relief to convey a portion of the discharge of the 10-, 50-, and 100-year floods. The significance of this condition is that bed material of the main channel is potentially entrainable from cross section 10 at the flows equal to or greater than the 10-year flood (figs. 7B, 7C, and 7D), and the main channel here may function as an efficient transporter of supplied bedload similar to the reach immediately upstream (cross sections 6 through 9). The channel segment in the meander bend abandoned after 1987 is filled with gravel- and cobble-size sediment, indicating that flood discharges may transport coarse sediment into but not through this side channel. The entrainment potential at cross section 11 is much less than at cross section 10 for the 10-, 50-, and 100-year floods (figs. 7B, 7C, and 7D) because the streambed sediment is slightly coarser at cross section 11 than cross section 10 (table 2) and because the water-surface slope also is less (table 1, fig. 4D) due to the backwater effects of the Emma Bridge. Deposition of sediment in the vicinity of Bar 8300 may be a result of the decrease in shear stress downstream from cross section 11 (fig. 2C).

The potential effect of channel constrictions caused by the three bridges in Basalt is apparent in the longitudinal water-surface profiles (figs. 4A and 4D), the plot of downstream mean shear stress (fig. 6), and the entrainment potential plots (figs. 7A, 7B, 7C, and 7D). The narrow bridge openings and the abutments create a backwater area for flows equal to and larger than the 10-year flood. The alignment of piers acute to the flow direction and trapped woody debris beneath the Upper Bypass Bridge also may contribute to the backwater effect here, but this is not accounted for in the HEC–RAS one-dimensional flow model. Based on the estimated bar-inundating water-surface profile and the 1,260 ft³/s surveyed profile, the backwater effects of lower discharges may be less significant (figs. 4A and 4D). The entrainment-potential plots suggest that the streambed is immobile for all reference streamflows at cross sections 3 and 16, upstream from the Upper Bypass and Midland Avenue Bridges, and immobile to only partly mobile at cross section 11, upstream from the Emma Bridge (fig. 7A–D). Bar 9600, downstream from cross section 3 (fig. 2A), and Bar 8300, downstream from cross section 11, are depositional features that may have been enhanced by the backwater effects of the Upper Bypass and Emma Bridges.

Aerial photographs, surveyed channel cross sections, and anecdotal evidence indicate the river reach between the confluence of the Fryingpan River and the Midland Avenue Bridge recently has been an area of streambed deposition and reworking. This reach is hydraulically complex, geomorphically dynamic, and not well represented by the HEC–RAS one-dimensional streamflow model; however, aerial photographs from 1987, 1997, and 2000 indicate active sediment reworking in the reach. Bar 8050, now connected to the left bank at cross sections 14 and 15 (fig. 2D), was a midchannel bar in 1997. This bar was attached to the right bank upstream from the Fryingpan confluence and extended downstream from the present location of cross section 16 (fig. 2D) in the 1987 aerial photograph (McLaughlin Water Engineers, Inc., 2000).

The analysis of bed-material entrainment potential in this study is dependent on the resolution of previous surveys and the representativeness of streamflow modeling. The HEC–RAS one-dimensional model output from the 1997 FEMA study (McLaughlin Water Engineers, Inc., 2000) is adequate for flood-plain mapping and an understanding of general flood-related hazards over a broad area including the Town of Basalt. However, the location and spacing of cross sections, the resolution of channel geometry from surveyed points, and the model-generated water-surface slopes are relatively insensitive to the scale and scope of this investigation, the main flood-conveying channel where much entrainable sediment is stored. More topographic detail of the streambed and channel and more closely spaced cross sections in some subreaches would allow more precise estimates of variation in shear stress and sediment entrainment potential. The 1,260-ft³/s water-surface profile from 2001 (fig. 4) reveals subtle variations in flow depth and water-surface slope, whereas the HEC–RAS water-surface profiles derived from single points at widely spaced cross sections do not.

The water-surface profile for the bar-inundating discharge was extrapolated from cross-section survey data that sometimes were not annotated. In these situations, the bar tops were identified by a visual interpretation of the cross-section plot. When compared to the surveyed water surface at 1,260 ft³/s, a discharge that
did not inundate the major alluvial bars (fig. 2), the extrapolated bar tops sometimes were lower than the surveyed water surface (fig. 4). This could be because some of the surveyed surfaces were incorrectly identified as bar tops. Other possible explanations for this apparent contradiction could be that local channel-geometry and bar-topography adjustments have occurred between the time of the cross-section surveys (October 1998 and April 1999) and the time of the water-surface survey (June 2001) or that alluvial bars in the study reach are formed by multiple discharges rather than by a single discharge common to all.

Regional flood-frequency equations derived from an analysis of the magnitude and frequency of Colorado floods (Vaill, 2000) indicate that the FEMA discharge estimates (Matrix Design Group, Inc., 2000) used in this study for the 10-, 50-, and 100-year floods may be too large. Published magnitude-frequency estimates for Colorado streams have been overestimated by as much as 35 percent when compared to Log Pearson III analysis of long-term gaging-station records (Jarrett, 1993). The significance of overestimating the discharge and the water-surface elevation, for example, for a flood of a 10-year recurrence, is that the entrainment estimates for the 10-year flood presented in this report may occur less frequently than an average of once in 10 years. The computation of revised flood magnitudes is beyond the scope of this report but could improve the accuracy of inundation and sediment-transport estimates in future studies.

Another shortcoming of this study is that streamflows between the bar-inundating discharge (approximately 3,000 ft$^3$/s downstream from the Fryingpan River) and the 10-year flood (7,100 ft$^3$/s downstream from the Fryingpan River) were not surveyed or simulated. This broad range of discharges is relatively common in the Roaring Fork River and includes the bankfull discharge, a frequently occurring streamflow shown by Andrews (1984) to be a significant transporter of bedload in Colorado mountain gravel-bed rivers. Frequent and widespread entrainment and transportation of bedload can result in adjustments in channel cross-section properties. Therefore, a comprehensive assessment of bed-material entrainment potential should include additional computations within this important discharge range.

This study identifies cross sections or channel subreaches where bed-material entrainment potential is highly variable relative to the entrainment potential immediately upstream or downstream—for example, in the subreaches just upstream from each of the three bridges. A persistent tendency for entrainment at all reference streamflows might indicate a similar tendency to transport sediment supplied from upstream or to scour the local bed material. Conversely, a persistent tendency for there to be no entrainment at any reference streamflow might indicate a higher probability for the bed to become armored, or immobile, or for the bed to aggrade and for flood conveyance to be reduced. Understanding the likely response of the streambed to a range of discharges will allow resource managers to identify channel subreaches where erosion-mitigation efforts or channel-clearing activities may be needed during or immediately after the recession of a flood. Identification of the geomorphic factors that lead to a high or low probability of sediment entrainment (slope, flow depth, sediment-size characteristics, and so forth) also is critical to proper design of channel modifications, such as cross-section changes, sinuosity and gradient changes, and the like.

Future studies to improve understanding of sediment-related issues in the Roaring Fork could include refinement of shear stress calculations through application of a multidimensional streamflow model (McDonald and others, 2001). Such a model would produce more accurate shear stress estimates and would compute shear stress over a greater area of the streambed, as compared to the calculations of this study, which are limited to only 14 widely spaced cross sections. Sediment-entrainment-potential calculations give only an indication of what the geomorphic response to floods could be. More accurate forecasts of channel adjustments resulting from sediment redistribution could be made through use of sediment-transport functions linked to a multidimensional flow model.

**SUMMARY**

The Roaring Fork River is a single-thread, high-gradient, cobble/boulder-bed river that presents a natural hazard from high-velocity snowmelt runoff, flood inundation, and a high bedload-transport rate that cause intermittent channel realignment, streambed scour, and deposition of coarse-grain bars and islands. Recent urban, highway, and recreational development on the flood plain, earlier attempts to realign and confine the Roaring Fork channel with retaining walls...
and levees, and flow obstructions such as bridge openings and piers have altered the hydraulics, sediment entrainment, sediment transport, and sediment deposition in the river at Basalt. Gravel, cobble, and boulder bed material is transported almost annually in the Roaring Fork River, and deposition of this coarse sediment on the streambed and in large bars has reduced the flood-conveying capacity of the river. In addition, sedimentation may have diminished fish habitat in the reach and affected the function of an irrigation intake structure. Previous studies have identified flood-prone areas and hazards related to inundation and high streamflow velocity, but those studies have not evaluated response of the channel to discharges that entrain the coarse streambed. This study builds upon the results of earlier flood studies and addresses potential problems associated with bed-material entrainment.

Sediment entrainment estimates were made at several locations along the Roaring Fork River for four reference streamflows: the bar-inundating streamflow, and the 10-, 50-, and 100-year floods. The bar-inundating streamflow is approximately 2,500 ft³/s upstream from the Fryingpan River confluence and approximately 3,000 ft³/s downstream from the confluence. The 10-year flood is approximately 6,100 ft³/s upstream from the confluence and approximately 7,100 ft³/s downstream from the confluence. The 50-year flood is approximately 8,500 ft³/s upstream from the confluence and approximately 9,400 ft³/s downstream from the confluence. The 100-year flood is approximately 9,400 ft³/s upstream from the confluence and approximately 10,400 ft³/s downstream from the confluence. Regional flood-frequency equations derived from an analysis of the magnitude and frequency of Colorado floods indicate that the discharge estimates for the 10-year, 50-year, and 100-year floods may be too large; however, computation of revised flood magnitudes is beyond the scope of this report. Consequently, the flood magnitudes provided by Matrix Design Group, Inc. (2001) were used.

Sediment entrainment in stream channels is partly a function of the boundary shear stress (τ_b) acting on sediment particles resting on or in the streambed or other inundated alluvial surfaces, and partly a function of the critical shear stress (τ_c) of the sediment. Shear stress in the Roaring Fork River was calculated at 14 previously surveyed cross sections using the duBoys equation for the four reference streamflows. Shear stress was calculated for the active channel using the mean streamflow depth, and for the thalweg and adjacent alluvial-bar surfaces using at-a-point depths determined from cross-section surveys and simulated water-surface elevations used in a previous HEC–RAS model.

The critical shear stress (τ_c) is the shear stress at which general movement, or entrainment, of streambed sediment begins and has been related to sediment-size characteristics. Sediment-size characteristics, including the median particle size (d_50), were determined for surficial material on the streambed of the low-flow channel, on large alluvial bars adjacent to the low-flow channel, and on one streambank using the Wolman method. Subsurface-sediment size was not determined. The mean of the d_50 for seven streambed samples was 165 mm, the mean of the d_50 for nine alluvial bar and bank samples was 107 mm. The bed and most bar deposits were relatively well sorted, a result of fluvial reworking.

The Shields equation was used to estimate the critical shear stress for entrainment of sediment d_50 on the mean streambed, as well as on the thalweg or deepest point in the channel, and on adjacent alluvial bar surfaces, if present. The critical shear stresses for each sediment measurement in the Roaring Fork study area were calculated with Shields τ_c values of 0.030, a conservative value for sediment entrainment but one that may be appropriate for a coarse-bed channel like the Roaring Fork River and for situations where hazard recognition is an objective for resource managers. Entrainment estimates using a conservative τ_c value of 0.030 imply that the streambed becomes mobile at a shallower flow depth and lesser discharge than at a larger τ_c value. If a larger value of τ_c was determined to be more appropriate for the Roaring Fork River, then deeper flows and greater discharge would be required to initiate movement of the streambed.

Sediment-entrainment potential for a specific geomorphic surface can be expressed as the ratio of boundary shear stress to the critical shear stress (τ_b/τ_c) of sediment on that surface. The partial entrainment threshold (τ_b/τ_c=1) is the condition where the boundary shear stress (τ_b) just equals the critical shear stress for the median particle size (τ_c). At this threshold streamflow, the d_50 particle size becomes entrained, but movement of d_50-size particles is limited to a few individual particles and to a limited area of the cross section. The complete entrainment threshold (τ_b/τ_c=2) is the condition where τ_b is twice
the critical shear stress for the median particle size, the condition where complete or widespread mobilization of the $d_{50}$ particle-size fraction occurs.

The $\tau_o/\tau_c$ ratio integrates several geomorphic and sediment variables (flow depth, energy gradient or water-surface slope, median sediment-particle size, critical shear stress) and is applicable over a wide range of variable values. When calculated for a range of discharges at several cross sections in a river reach, the $\tau_o/\tau_c$ ratio facilitates site-to-site comparison of entrainment potential and provides a method to evaluate the relative effects of different hypothetical flood discharges. Downstream variability in $\tau_o/\tau_c$ might indicate that sediment entrained at one cross section may be unable to move at a cross section farther downstream, a situation resulting in deposition and possibly aggradation and loss of channel conveyance over a period of time.

The entrainment plot for the mean streambed during a bar-inundating streamflow indicates that little or no sediment in the $d_{50}$-size range is likely to be entrained or transported through much of the study reach. However, the entrainment potential increases abruptly to more than twice the critical value ($\tau_o/\tau_c$ greater than 2) at cross sections 13 and 18 (the downstream faces of the Emma and Midland Avenue Bridges), then decreases just downstream. The abrupt increase and decrease in entrainment potential indicates that $d_{50}$-size material is moving at cross sections 13 and 18 but that the $d_{50}$-size material is not being entrained immediately downstream from both bridges at cross sections 14, 15, 16, and 19. This spatial variation could indicate that sediment removed from the streamed at cross sections 13 and 18 is being deposited at the downstream cross sections over time, possibly aggrading the channel and reducing flood-conveyance by decreasing the channel area.

The entrainment plot for the mean streambed during a 10-year flood indicates that some sediment is moving at most cross sections in the study reach. Exceptions are at cross sections 3 and 16, where mean streamed shear stress is less than the critical shear stress for entrainment of the $d_{50}$-size material. Because these cross sections are downstream from cross sections where some entrainment is occurring, there is a potential for aggradation over time.

The entrainment plots for the mean streambed during a 50- or 100-year flood indicate that some sediment is moving at most cross sections in the study reach. Exceptions are at cross sections 3, 16, and possibly 11, where mean streamed shear stresses are less than the critical shear stress for entrainment of the $d_{50}$-size material. The entrainment potential downstream from the Emma Bridge (at cross sections 13, 14, and 15) is great because of an increase in depth and in water-surface slope. The low value for entrainment potential at cross section 16 indicates potential aggradation during the 50- and 100-year floods. Sediment on most alluvial bars is potentially entrainable by the 50- and 100-year floods; however, sediment on the alluvial bars at cross sections 3, 11, and 16 does not appear to be mobile. Depending on the size of sediment transported from upstream and onto these surfaces, the alluvial bars at cross sections 3, 11, and 16 could be depositional sites even during the largest of the simulated floods.

The ratio of $\tau_o/\tau_c$ at cross section 3 never exceeds the partial entrainment threshold of 1.0, indicating no probable movement of $d_{50}$-size sediment at this location. Because the streamed $d_{50}$ upstream at cross section 2 is coarser and is partially mobile ($1.0 < \tau_o/\tau_c < 2.0$) for all discharge scenarios, it might be expected from this analysis that material entrained from the vicinity of cross section 2 would be deposited in the vicinity of cross section 3. Anecdotal evidence, onsite observation, and the aerial photograph taken in November 2000 indicate that the channel segment between cross section 3 and the Upper Bypass Bridge is a location of periodic streamed bed aggradation and could continue to be an area of concern due to aggradation and loss of channel conveyance. Aggradation here likely is due to the backwater effects of the Upper Bypass Bridge and partly due to the channel curvature at Bar 9600.

The consistently moderate to high entrainment potential in the reach including cross sections 6, 7, 8, and 9 may be a relict condition from a 19th century effort to straighten the channel, an action that consequently steepened the channel as well. The probability of bed-material entrainment at all simulated discharges in this reach could indicate that the reach is an efficient transporter of supplied sediment.

A channel avulsion of the meander between cross sections 10 and 11 occurred sometime between 1987 and 1997. The effect of this cutoff was to shorten the flow path and steepen the slope between cross sections 10 and 11. Bed material in the main channel is potentially entrainable from cross section 10 at the flows equal to or greater than the 10-year flood, and the main channel here may function as an efficient
transporter of supplied bedload similar to the reach immediately upstream (cross sections 6 through 9). The channel segment in the meander bend abandoned after 1987 is filled with gravel- and cobble-size sediment, indicating that flood discharges may transport coarse sediment into but not through this side channel.

The entrainment potential at cross section 11 is much less than at cross section 10 for the 10-, 50-, and 100-year floods because the streambed sediment is slightly coarser at cross section 11 than at cross section 10 and because the water-surface slope is less due to the backwater effects of the Emma Bridge. Deposition of sediment in the vicinity of Bar 8300 may be a result of the decrease in water-surface slope and shear stress downstream from cross section 11.

Channel constrictions at the three bridges in Basalt create backwater areas of impounded water during the simulated 10-, 50-, and 100-year floods. The alignment of piers acute to the flow direction and trapped woody debris beneath the Upper Bypass Bridge also may contribute to the backwater effect here, but this is not accounted for in the HEC–RAS one-dimensional flow model. The entrainment-potential plots indicate that the streambed is immobile for all simulated streamflows at cross sections 3 and 16, upstream from the Upper Bypass and Midland Avenue Bridges, and immobile to only partly mobile at cross section 11, upstream from the Emma Bridge. Bar 9600, downstream from cross section 3, and Bar 8300, downstream from cross section 11, are depositional features that may have been enhanced by the backwater effects of the Upper Bypass and Emma Bridges.

The entrainment estimates of this study are limited by the precision and availability of data that were used to calculate shear stress under the discharge scenarios. The location and spacing of cross sections, the resolution of channel geometry from surveyed points, and the model-generated water-surface slopes are relatively insensitive to the scale and scope of this investigation, the main flood-conveying channel where entrainable sediment is stored. More topographic detail of the streambed and channel and more closely spaced cross sections in some subreaches would allow more precise estimates of shear stress and sediment-entrainment potential. The river reach between the confluence of the Fryingpan River and the Midland Avenue Bridge is hydraulically complex, geomorphically dynamic, and not well represented by the HEC–RAS one-dimensional streamflow model. Anecdotal evidence and aerial photography indicate this reach recently has been an area of streambed deposition. Bar 8050 now is connected to the left bank at cross sections 14 and 15 but was a midchannel bar in 1997 and was attached to the right bank upstream from the Fryingpan River confluence in the 1987 aerial photograph.

The HEC–RAS-generated water-surface profiles used in this study were simulated using channel topography surveyed in 1998 and 1999, whereas sediment characteristics were measured in 2000 and 2001. It is possible that local channel-geometry adjustments occurred at some locations between the dates of surveying and sediment measurements. The discharges between the bar-inundating flow (approximately 3,000 ft$^3$/s) and the 10-year flood (6,100 or 7,100 ft$^3$/s) were not surveyed or simulated. This broad range of discharges is relatively common in the Roaring Fork River and includes the bankfull discharge, shown to be a significant transporter of bedload in Colorado mountain gravel-bed rivers.

The FEMA discharge estimates used in this study for the 10-, 50-, and 100-year floods may be too large. The significance of overestimating the discharge and the water-surface elevation for a flood of specific recurrence is that the entrainment estimates in this report may occur less frequently than the stated flood recurrences imply. Sediment-entrainment potential calculations give only an indication of what the geomorphic response to floods could be. More accurate forecasts of channel adjustments resulting from sediment redistribution could be made by using a multidimensional streamflow model and sediment-transport functions.

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